



UTILITIES ADVISORY COMMITTEE MEETING

Wednesday, April 22, 2015 at 5:30 p.m.
Los Osos Community Services District Office
2122 9th Street, Suite 102, Los Osos, CA

COMMITTEE MEMBERS

Louis Tornatzky, Chairperson
Jon-Erik Storm, Vice Chairperson
Noah Evans, Member
Aaron Floyd Member
Jan Harper, Member
Lee Harry, Member
Ron Munds, Member
Leonard Moothart, Alternate Member

STAFF

Kathy Kivley, General Manager
Rob Miller, District Engineer
Margaret Falkner, Utility Compliance Technician III
Ann Kudart, Administrative/Accounting Assistant III

AGENDA

1. **Opening – 5:30 p.m.**
 - A. Call to Order
 - B. Flag Salute
 - C. Roll Call
2. **Welcome 2015 Committee Members and Review Brown Act Guidelines**
Presented By: Chairperson Tornatzky and General Manager Kivley
3. **Approval of UAC Meeting Minutes of January 21, 2015**
Presented By: Utility Compliance Technician Falkner
4. **Review Fiscal Year 2015/2016 Utilities Budget and Provide Recommendations to the Board**
Presented By: General Manager Kivley and Utility Compliance Technician Falkner
5. **Update of Board Item Regarding Intent to Serve Letters**
Presented By: District Engineer Miller
6. **Update of Ad Hoc Committee Grant Opportunities**
Presented By: Chairperson Tornatzky
7. **Utilities Department Updates – Capital Improvement Projects**
Presented By: Utility Compliance Technician Falkner
8. **Public Comments on Items NOT on this Agenda:** At this time, the public may comment on items not on this agenda. Each commenter is limited to 3 minutes and shall address the Chairperson.
9. **Schedule UAC Meeting** – UAC meetings are held quarterly and unless otherwise noted the next meeting will be held Wednesday, July 8, 2015.
10. **Closing Comments by UAC Committee Members**
11. **Adjournment**

ITEM 2

**WELCOME 2015 COMMITTEE MEMBERS
AND
REVIEW BROWN ACT GUIDELINES**

2015 LOS OSOS COMMUNITY SERVICES DISTRICT
BOARD OF DIRECTORS BYLAWS AND RULES OF DECORUM

SECTION 11 - COMMITTEES

11.1 The Board may create standing committees at its discretion. Standing committees shall be advisory committees to the Board of Directors and shall not commit the District to any policy, act or expenditure. Each standing committee shall consider District related issues assigned to it by the Board of Directors.

(a) Each standing committee shall meet either monthly or quarterly as determined by the Board of Directors. There are no quorum requirements for standing committee meetings. All recommendations to the Board of Directors shall be supported by a minimum of two voting members.

(b) Subject to the availability of public membership, standing committees shall be comprised of five public voting members and one public alternate, none of whom shall be Directors; and one Director appointed by the President as the non-voting Chairperson and one person appointed by the President as the Vice Chairperson. In the absence of both Chairperson and Vice Chairperson the President may assign a Board Member to temporarily act as the Committee Chairperson in order that the Committee may meet as established by the previously adopted schedule.

Depending on the number of applications, the Board retains the discretion to alter Standing Committee membership on a case-by-case basis.

(c) The General Manager shall be an ex-officio member of all standing committees. The Committee Chairperson and Committee Vice Chairperson are non-voting members appointed for the sole purpose of conducting the meeting, enabling conversation, facilitating discussions, and forwarding recommendations to the Board.

(d) Voting committee members shall hold office for two years, serving without compensation. Two committee member vacancies shall occur in the odd numbered years and three committee member vacancies shall occur in the even numbered years. The alternate shall hold office for a one year term. The District Board of Directors reserves the ability to extend the dates in this paragraph up to 31 days.

(e) On or before January 31st or as otherwise established by the Board of Directors of each year, members of the public who reside within the boundaries of the District are invited to apply for specific committee assignments by completing an application. The General Manager shall prepare an appointments list of all standing committees. This list shall be known as the local appointment list and will be provided to the Board of Directors at the first meeting in February or other date established by the Board of Directors.

(f) The local appointment list shall be made available to the members of the public and shall be posted at the District office and the District's web site.

(g) Whenever an unscheduled vacancy occurs on a standing committee, the alternate shall complete the term of the departing member. A special vacancy notice shall be posted at the District office, the public library, the District's web site, and other places as directed by the Board of Directors, not earlier than twenty days before, or not later than twenty days after the vacancy occurs inviting the public to apply for the alternate position, and if no alternate position is available to fill the vacancy(s).

Final appointment of committee members shall not be made by the Board of Directors for at least ten working days after the posting of the Notice at the District's office.

(h) Notwithstanding Section 11.1(f), above, the Board of Directors may, if it finds an emergency exists, fill the unscheduled vacancy immediately. The person appointed to fill the vacancy shall serve only on an acting basis until final appointment is made pursuant to Section 11.1(f), above.

(i) A committee member will automatically be removed if he/she has three unexcused absences or misses four meetings in one calendar year.

(j) All standing committee members will receive Brown Act training and all meetings shall be conducted as public meetings in accordance with the Brown Act. Summary notes for each meeting of each committee shall be forwarded to the LOCSD Board of Directors as a public record.

(k) At the request of the Chairman, the Board President may appoint persons to a subcommittee to assist a standing committee in making recommendations to the Board of Directors. The duties of the subcommittee shall be outlined at the time of appointment and the subcommittee shall be considered dissolved when its final report has been made to the standing committee.

11.2 Ad Hoc Committees. The Board President shall appoint such ad hoc committees comprised of two or less Directors as may be deemed necessary or advisable by himself/herself and/or the Board. The duties of the ad hoc committees shall be outlined at the time of appointment, and the committee shall be considered dissolved when its final report has been made. Ad hoc committees shall timely provide the District General Manager with their recommendations and/or reports.

Understanding the Ralph M. Brown Act

Disclaimer

The following information is designed to be a general guide to the Brown Act and should NOT be considered legal advice. For questions about specific situations concerning the Brown Act please consult an attorney.

Open and Public



Ralph M. Brown 1959

Photo courtesy The Modesto Bee

"The people, in delegating authority, do not give their public servants the right to decide what is good for the people to know and what is not good for them to know." California Government Code §54950

Meetings

Brown Act Definition: A meeting is a gathering of a majority of members to hear or discuss any item of district business or potential business. A meeting under the brown act does not have to include action. It can simply be the exchange of information.

There are three types of meetings:

- Regular - regularly scheduled board meetings. The LOCSB must formally set the time and place for their regular meetings in their bylaws, by resolution or some similar formal rule.
- Special – meetings called by the agreement of a majority of the board to discuss a specific issue (ex. Project planning)
- Emergency – meetings held, as allowed in Section 54956.5 of the Act, to deal with emergency situations

Collective Briefings

- Collective briefings are **not** permitted. Any briefings involving the majority of the directors must be open to the public and satisfy the notice and agenda requirements specified in the Act.
- A unilateral written communication to a legislative body such as an informational or advisory memorandum does not violate the brown act. The memo may, however, be public record.

More About Meetings

- The Board CAN NOT meet to discuss LOCSB business outside of a meeting that the public has received proper notice about. An agenda must be posted. Generally, LOCSB directors can not meet for coffee or lunch to discuss business outside of a meeting.
- Example: In 1968 the Sacramento Newspaper Guild sued the Sacramento County Board of Supervisors because they held a lunch gathering that included five county supervisors, the county counsel, a variety of county officers, and representatives of a union to discuss a strike that was underway against the county. The court ruled that this gathering constituted a meeting and the supervisors were in violation of the act.
- If you type "Brown Act violations" into an internet search engine you will get many examples of Brown Act violations or potential violations.
- There are a few exceptions.

Meeting Exceptions

- Conferences – It is okay to attend a conference together provided you do not discuss LOCSB business. It is permissible to talk about LOCSB business if it is part of the conference program and the conference is open to the public.

More Exceptions

- Community Meetings – It is okay to attend community meetings together. For example, if there is a meeting to discuss building a new school in your district it is okay if all LOCSB board members attend.
- Other Legislative Bodies – For example a meeting of the County Board of Supervisors.
- Social or Ceremonial Events – There is nothing in the Act to prohibit directors from attending purely social or ceremonial gathering. For example, funerals, weddings, etc.

The Bottom Line: With all of these exceptions, the Board must avoid talking about LOCSB business while they are at the event. The only time directors can discuss LOCSB Business outside a meeting is if the business is part of the other organizations agenda and the agenda has been properly posted. For example, if the Board of Supervisors requested that the LOCSB attend their meeting to discuss LOCSB business and the BOS had properly posted notice of the meeting.

Special Meetings

Conditions for a Special Meeting:

- Written notice must be delivered to each member of the legislative body and to each local newspaper of general circulation, and radio or television station which has requested such notice in writing. (§54956)
- The written notice must be delivered and posted at least 24 hours prior to the special meeting in a site freely accessible to the public. The notice must include the time and place of the meeting, and a brief description of all business to be transacted or discussed. (§54956)
- The LOCSD cannot consider business that is not mentioned in the notice.
- Notice is required even if no action is taken at the meeting.
- As with regular meetings, every special meeting must allow for a public comment period (§54954.3(b))

Emergency Meetings



- Extremely rare
- Only call an emergency meeting if there is, in fact, an emergency
- An emergency is defined as:
 - a crippling activity, work stoppage or other activity which severely impairs public health, safety or both. (§54956.5(a)(1))**Example:** a levee that was part of an LOCSD revegetation project is failing as a result of the project.
- Generally emergency meetings can not include closed sessions (§54956.5)
 - **Exception:** If the LOCSD is meeting with law enforcement officials and at least 2/3rds of the Board determines that it is necessary.
- Anyone who has requested notice of meetings must be called one hour before the meeting.
- In the event the phones are not working the notice requirement is waived.

Emergency Meetings (continued)

- After an emergency meeting the minutes, a list of people who received notice, roll call votes, and any actions taken must be posted in a freely accessible public location for at least 10 days. (§54956.5(e).)
- In the case of a dire emergency, the LOCSD would only need to provide notice at or near the time that notice is provided to the members of the body. (§ 54956.5(b).)
- Definition: A dire emergency is a crippling disaster, mass destruction, terrorist act, or threatened terrorist activity that poses peril so immediate and significant that requiring a legislative body to provide one-hour notice before holding an emergency meeting may endanger the public health, safety, or both, as determined by a majority of the members of the legislative body. (§ 54956.5(a)(2).)
- It is extremely unlikely this would ever apply to an LOCSD

Committees

- The Brown Act also applies to meetings of all:
 - Standing Committees – a committee that has continuing jurisdiction over a particular topic §54952(b) For example: budgets, personnel, etc.
 - Advisory Committees that include a majority of the Board and are not Standing Committees
 - Advisory Committees that are standing committees (regardless of the number of directors)
- Exception: The Brown Act does not apply to a subcommittee that is made up of less than a majority of directors, is an advisory committee AND is not a standing committee

Serial Meetings



- Serial meetings are not allowed
- Serial meetings occur when a majority of the directors have communicated about an issue and have developed a collective concurrence.
- A collective concurrence is developed when:
 - Directors have either directly or indirectly heard each others opinion on a topic enough to collectively develop or begin to develop an agreement on an issue.

Types of Serial Meetings

- A daisy chain meeting:
 - Example: When director Bob calls director Bill to talk about a project then Bill calls director John to talk about it and finally John calls director Fred. A majority of the directors have talked about the topic and a collective concurrence has been established.
- Hub and spoke meeting:
 - Example: For instance, if employee Terri calls director John and discusses an LOCSO issue to get his opinion with him then she calls director Robert then calls director Bill and then calls director Tim telling each what the other has said, a majority of the LOCSO has indirectly discussed the topic without public notice and is therefore in violation of the Brown Act.

E-mail

§5492.2(b): Except as authorized pursuant to §54953, any use of direct communication, personal intermediaries, or technological devices that is employed by a majority of the members of the legislative body to develop a collective concurrence as to action to be taken on an item by the members of the legislative body is prohibited

The Attorney General has issued an opinion stating that this section of the act applies to email.

The Attorney General's Opinion Regarding E-mail

- In 2001 the CA Attorney General issued an opinion regarding the use of email. (Opinion #00-906, 2001)
- The opinion concluded: A majority of the board members of a local public agency may not e-mail each other to develop a collective concurrence as to action to be taken by the board without violating the Ralph M. Brown Act.
- Even if the emails are made public they would still be a violation of the Act because the board would be depriving the public of the deliberative process.
- The opinion also states, "The term 'deliberation' has been broadly construed to connote 'not only collective discussion, but the collective acquisition and exchange of facts preliminary to the ultimate decision.' [Citation.]" (*Rowen v. Santa Clara Unified School Dist.*, (1981) 121 Cal.App.3d 231, 234; see *Roberts v. City of Palmdale*, *supra*, 5 Cal.4th at p. 376.)
- You can find the opinion at: <http://ag.ca.gov/opinions/published/00-906.pdf>

Meeting Agendas

All meetings of the Board of Directors and Standing Advisory Committees must have a properly prepared and posted agenda



Meeting Agendas

➤ Requirements:

- Posting requirements – Agendas must be posted *at least 72 hours* before the regular meeting in a location freely accessible to the members of the public.
- Content requirements – The agenda should contain a brief general description of each item of business to be transacted or discussed at the meeting, including items to be discussed in closed session.
- Agendas must have enough information to enable members of the general public to determine the general nature of subject matter of each agenda item to be discussed.
- The 1994 revision specified that the brief description generally need not exceed 20 words.

*The Board can not discuss or take action on any item that is not on the agenda. However, there can be responses to questions from the public or other limited routine comments. There are three action exceptions.

The Three Action Exceptions

- The three action exceptions are discussed in section 54954.2(b) of the Brown Act.
- They are:
 - Emergency situations
 - A need for immediate action
 - Items posted on a previous agenda

Action Exceptions: Emergency Situations

- Action can be taken on an item that is not on the agenda if there is an emergency situation
- An Emergency situation exists if the legislative body determines a work stoppage, crippling disaster, or other activity severely impairs public health, safety or both.
- This exception would generally only apply to LOCS D in very rare occasions.

Action Exceptions: Need For Immediate Action

- Action can be taken on an item that is not on the agenda if the board determines by a 2/3rds vote that there is a need for immediate action that can't reasonably wait until the next meeting. (§54954.2(b)(2))
- Requirements to use this exception:
 - The issue must have come to the attention of the board after the agenda had been posted.
 - The board must openly discuss the issue during the meeting.
- Example - The day before the LOCS D board meeting a director finds a grant opportunity that is the perfect match for a long planned LOCS D project. The grant proposal is due two days after the regular meeting. The director is willing to put in the extra effort to complete the proposal, however, he needs the approval of the board. In this case the director could bring the proposal up at the meeting for the directors to vote on whether or not to pursue this opportunity. The vote would not be a violation of the Brown Act.

Action Exceptions: The Third Action Exception

- The item appeared on a previous agenda and was continued from a meeting held not more than five days earlier.
- Example: LOCS D can not come to a resolution on a topic at their regularly scheduled board meeting. So, they agree to continue the discussion on the topic at their already planned budget meeting the next day.

Closed Sessions



Without specific authority in the Brown Act for a closed session, all District business must be discussed in public

Closed Session Requirements

- The Attorney General has stated, "If a specific statutory exception authorizing a closed session cannot be found, the matter must be conducted in public regardless of its sensitivity." (§ 54962 [of CA government code]; *Rowen v. Santa Clara Unified School District* (1981) 121 Cal.App.3d 231, 234; 68 Ops.Cal.Atty.Gen. 34, 41-42 (1985).)
- Items to be discussed in closed sessions must be on the agenda and must be orally announced before going into closed session. The agenda must include the reason for the closed and a brief description. It is a good idea to site the section of the Brown Act that allows for the closed session. Section 54954.5 of the Act provides a model format for closed session agendas.
- Meetings are either open or closed. The LOCS D can't invite only certain members of the public and exclude others. Closed sessions should include only LOCS D directors plus any additional support staff required, legal counsel, a supervisor involved in a disciplinary matter, consultants, a labor negotiator etc.

More Closed Session Requirements

- Decisions reached in closed sessions must be discussed in public immediately after the closed session.
- Public comment on closed session business
 - In their guide to the Brown Act the attorney general's office has stated, "it would be prudent for legislative bodies to afford the public an opportunity to comment on closed-session items prior to the body's adjournment into closed session." (CA Attorney General's Office, pg. 19)

Closed Sessions are allowed for: Personnel Matters (§54957)

Including:

- Employee appointment or employment
- Evaluation of employee performance
- Employee discipline or dismissal
- Complaints against employees

Closed Sessions are allowed for: Pending Litigation §54956.9

Including:

- Existing Litigation
- Threatened or Anticipated Litigation
- Potential Litigation

Note: The Attorney General has stated that the RCD's lawyer must be present during the closed session.
(71 Ops.Cal.Atty.Gen. 96, 104-105 (1988).)

Closed Sessions are allowed for: Real Estate Negotiations (§54956.8)



- If you are meeting with your negotiator to discuss the purchase, sale, exchange or lease of real property.
- Before going into closed session the LOCSD must name its negotiator (it can be someone from the District), describe the property, and name the parties the district is negotiating with.

Closed Sessions are allowed for:

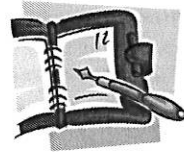
Labor Negotiations (§54957.6)

- Closed sessions are permitted for some aspects of labor negotiations.



Closed Session Minute Book (§54957.2 (a))

- The LOCSD may designate a clerk to take notes and enter them into a minute book during closed sessions.
- The minute book is not public record and must be kept confidential.
- The book is exempt from public disclosure under the CA Public Records Act in Chapter 3.5 starting with §6250 of Division 7 of Title 1.



Adjournments and Continuances

- §54955 of the Act allows local agencies to adjourn any regular, adjourned regular, special or adjourned special meeting to a time and place specified in the order of adjournment.



More on Adjournments and Continuances

- If less than a quorum is present, the directors who are present can adjourn the meeting.
- If no directors are present, the clerk or secretary of the legislative body may declare the meeting adjourned to a stated time and place. The clerk or secretary must create a written notice.
- The Act gives very specific instructions on posting notice of the adjournment. §54955 states, "A copy of the order or notice of adjournment shall be conspicuously posted on or near the door of the place where the regular, adjourned regular, special or adjourned special meeting was held within 24 hours after the time of the adjournment".

Location of Meetings

- With a few exceptions, meetings must be held within district boundaries (§54954(b)).
- Tropical locations are most likely not allowed for district meetings.
- A meeting may not be held in any facility that prohibits the admittance of any person on the basis of race, religious creed, color, national origin, ancestry, or sex; which is inaccessible to disabled persons; or where members of the public may not attend without making a payment or purchase.



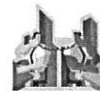
The Location Exceptions

- Meetings can be held outside District boundaries for the following reasons:
 - To comply with state or federal law or attend a judicial or administrative proceeding to which the agency is a party. §54954(b)(1)
 - To inspect real property that can not be easily brought within the district boundaries. The meeting discussion is limited to the property the district is inspecting. §54954(b)(2)
 - To participate as a body in a multi-agency meeting held in another jurisdiction also participating in the meeting. However, the meeting must take place within the boundaries of one of the agencies involved in the meeting. §54954(b)(3)
 - If the district does not have a facility within their boundaries, they can meet in the closest facility or at the principal office of the district if the office is located outside the district boundaries. §54954(b)(4)

Location Exceptions (continued)

- To meet with elected or appointed federal or state officials when a local meeting would be impractical. However, discussion must be limited to a legislative or regulatory issue affecting the district that the federal or state officials have jurisdiction over. §54954(b)(5)
- To meet at or nearby a facility owned by the district that is outside district boundaries, if the topic of the meeting is limited to items related directly to that facility. §54954(b)(6)
- To visit the office of the district's legal counsel for a closed session on pending litigation when doing so would reduce legal fees or costs. §54954(b)(7)
- Finally, if there is an emergency that makes the regular meeting location unsafe, the meetings can be held at a location designated by the president or his/her designee in a notice to the local media that have requested notice, by the fastest method of communication available at the time. §54954(e)

Teleconferences



- 54953(b)(1) permits the use of teleconferencing.
- Requirements for teleconferences include:
 - Teleconferences must comply with the rest of the Act
 - All votes taken during a teleconference must be taken by roll call.
 - Agendas must be posted at all teleconference locations.
 - Each teleconference location must be identified in the agenda.
 - Each teleconference location must be accessible to the public.
 - At least a quorum of the board must participate from locations within the district boundaries.
 - The agenda must provide for public comment at each teleconference location.

Disruptive Attendees



- The District MUST allow for negative public comment however, §54957.9 does address willful interruptions of meetings.
- The Act states that the members of the legislative body conducting the meeting may clear the room and continue the meeting. However, all press and other members of the public who were not part of the interruption must be allowed to stay.

Special Situations

- The Brown Act also applies to a nonprofit corporation that both (i) includes a board member or councilmember appointed by the agency to serve on the corporation's board, and (ii) receives funds from the district or city.

ITEM 3

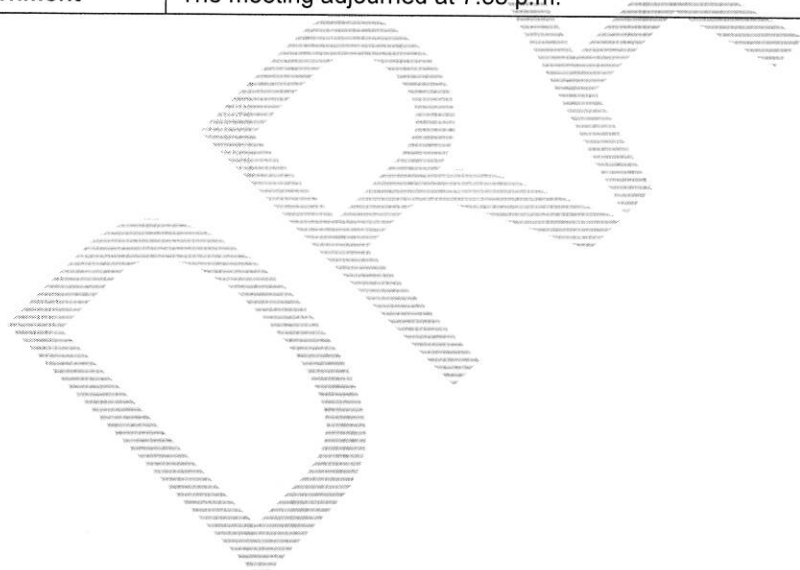
**APPROVAL OF UAC MEETING MINUTES OF
JANUARY 21, 2015**

Los Osos Community Services District
DRAFT Minutes of the Utilities Advisory Committee Meeting
January 21, 2015 at 5:30 p.m. at the District Office

AGENDA ITEM	DISCUSSION	FOLLOW-UP
<p>1. Call to Order, Flag Salute and Roll Call</p>	<p>Chairperson Tornatzky called the meeting to order at 5:28 p.m. and led the flag salute.</p> <p><u>Roll Call:</u> Noah Evans, Committee Member – Left at 7:20 p.m. Aaron Floyd, Committee Member – Absent Ron Munds, Committee Member – Present Dominic Roques, Committee Member – Present Chuck Snead, Committee Member – Present Lee Harry, Alternate Member – Present Jon-Erik Storm, Vice Chairperson – Present Louis Tornatzky, Chairperson – Present</p> <p><u>Staff:</u> Kathy Kivley, General Manager Margaret Falkner, Utility Compliance Technician III Rob Miller, District Engineer</p>	
<p>2. Approve UAC Minutes of October 15, 2014</p>	<p>Public Comment – None.</p> <p>Committee Member Roques moved to approve the minutes. The motion was seconded by Committee Member Evans and there being no objections, the motion passed unanimously.</p>	<p>Action: File approved minutes.</p>
<p>3. Review Recycled Water Discharges to Los Osos Creek and Provide Recommendations to the Board</p>	<p>District Engineer Rob Miller, reported on the Recycled Water Discharges to Los Osos Creek.</p> <p>Committee Members were pleased with the release of the document and asked for clarification on numerous subjects including permitting, seasonal discharge, pursuing the project, and possible cost sharing with other purveyors.</p> <p>Public Comment – Jeff Edwards stated he was pleased with the release of this document and supports pursuing the project with seasonal release. He expressed his idea the project would be low-tech and does not support sending recycled water to dry land farmers.</p> <p>Keith Wimer submitted draft comments on why the Cleath-Harris Tech Memo is not a good tool for decision making commenting that the study does not consider the full range of options.</p> <p>Linde Owen commented that there are many other options.</p> <p>Richard Margetson commented that 60 acre-feet of recycled water will go to dry land farmers, conclusions are very vague, and the need for a cost benefit analysis over time.</p> <p>Al Barrow commented on unknown effects that the sewer will have on the hydraulics of the basin and suggested alternatives to bring water back into the basin.</p> <p>Lynette Tornatzky commented that there are three water purveyors and the possibility of shared costs.</p> <p>Committee Member Roques made a motion to recommend that the Board commission a focused study, address permitting issues, a cost benefit analysis, recycled water options, and ask the other water purveyors to share in the cost of the more refined study. Committee Member Munds seconded the motion and there being no objection, the vote passed unanimously.</p>	<p>Action: The Committee recommended that the Board commission a focused study, address permitting issues, a cost benefit analysis, recycled water options, and ask the other water purveyors to share in the cost of the more refined study.</p>

AGENDA ITEM	DISCUSSION	FOLLOW-UP
<p>4. Review Emergency Shortage Contingency Plan and Provide Recommendations to the Board</p>	<p>District Engineer Miller shared the Emergency Shortage Contingency Plan matrix and said the Board will most likely declare Stage III at their April 2015 meeting.</p>	<p>No recommendation to the Board. There will be a status update.</p>
<p>5. 2014 Seawater Intrusion Monitoring Report (Information Only)</p>	<p>District Engineer Miller reviewed the seawater intrusion report.</p> <p>Public Comment - Jeff Edwards commented on phasing out the Palisades well, creek discharge, and asked for a reasonable goal.</p> <p>Al Barrow commented that switching pumping from Zone D to Zone C would reverse seawater intrusion quicker.</p> <p>Keith Wimer provided a handout that he had provided to the Board of Directors at the December 4, 2014 meeting.</p> <p>Linde Owen concurred with the previous speaker and asked for a diagram of private wells, mentioned the sustainable groundwater act and water conservation.</p> <p>Richard Margetson commented on the acre-feet equation.</p> <p>General Manager Kivley left the meeting at 6:55 p.m. and asked the Chair to continue Item 6 until she returned. General Manager Kivley returned at 7:15 p.m.</p>	
<p>6. Review Utilities Department Mid-Year Budget and Provide Recommendations to the Board</p>	<p>General Manager Kivley presented the Mid-Year budget to the Committee.</p> <p>Public Comment – Keith Wimer commented on the need to budget \$30,000 in water conservation.</p> <p>Linde Owen asked what was wrong with the Utility vehicles and asked whether we will be getting reimbursement for sewer construction expenses.</p> <p>Richard Margetson commented on the allocation of salaries and compared the financials from the January Board meeting with those in the current UAC packet.</p> <p>Lynette Tornatzky supported the Utility vehicles replacement.</p> <p>Al Barrow commented on the need to look at the financials.</p> <p>Committee Member Munds made the motion to recommend that the Board accept the recommended staff changes for the Mid-Year budget for the Bayridge-200, Vista de Oro-400, Water-500 and Drainage-800 funds for Fiscal Year 2014-2015. Committee Member Harry seconded the motion and carried with the following vote:</p> <p>Ayes: Committee Members Munds, Harry, Evans, Roques Nays: Snead Abstain: None Absent: Floyd</p>	<p>The Committee recommended that the Board accept the recommended staff changes for the Mid-Year budget for the Bayridge-200, Vista de Oro-400, Water-500 and Drainage-800 funds for Fiscal Year 2014-2015.</p>
<p>7. Public Comments on Items NOT on this Agenda</p>	<p>Al Barrow commented on the need to hold more UAC meetings and encouraged the formation of a subcommittee.</p> <p>Linde Owen commented on UAC meetings being held once a month and asked that the \$17,000 contact with Barnett Cox and Associates be analyzed.</p> <p>Keith Wimer commented on the need for a larger budget for Water Conservation.</p>	

AGENDA ITEM	DISCUSSION	FOLLOW-UP
7. Public Comments on Items NOT on this Agenda (continued)	<p>Leonard Moothart commented on a review of water conservation dollars spent.</p> <p>Richard Margetson commented on his disappointment with the County's water conservation program and that he would like to see UAC meet more than quarterly.</p> <p>Lynette Tornatzky commented on a public notice discussed at the recent Emergency Services Committee and suggested we have a link from our website to the press release.</p>	
8. Schedule UAC Meeting	<p>The next UAC meeting will be held on April 8, 2015 unless otherwise noted.</p>	
9. Closing Comments by UAC Committee Members	<p>Committee Member Munds commented on the need to take a hard look at the District's Water Conservation programs and see if we can do better.</p> <p>Committee Member Roques commented that he is interested in seeing the outcome of the Barnett Cox contract.</p> <p>Committee Member Harry commented that a great deal of water has been saved in this District which shows a successful Water Conservation program.</p> <p>Chairperson Tornatzky reported that he would be looking for funding opportunities.</p>	
10. Adjournment	<p>The meeting adjourned at 7:35 p.m.</p>	



ITEM 4

**REVIEW FISCAL YEAR 2015/2016
UTILITIES BUDGET
AND PROVIDE RECOMMENDATIONS
TO THE BOARD**



Date: April 15, 2015

TO: Utilities Advisory Committee

FROM: Kathy A. Kivley, General Manager

SUBJECT: Proposed Budget Report Agenda Item – Special Board Meeting Date: May 20, 2015

DESCRIPTION

Proposed Budget review for Fiscal Year 2015/2016 including detailed sheets for the Funds 200, 400, 500 and 800, revenues and expenditures.

President
R. Michael Wright

Vice President
Marshall E. Ochylski

Directors
Charles Cesena
Jon-Erik Storm
Luis Tornatzky

General Manager
Kathy Kivley

SUMMARY OF STAFF RECOMMENDATION

Staff recommends that the Committee adopt the following motion:

Motion: *The Utilities Advisory Committee recommends that the LOCSD Board of Directors accept the recommended Proposed Budget for the Utility Funds 200, 400, 500 and 800 for Fiscal Year 2015/2016 and authorized staff to transfer funds from the Capital Outlay Reserve for the proposed projects in Fund 500, direct staff to prepare an amended interfund loan document for Fund 400, and take the action as recommended to balance Fund 200.*

PRESENTERS: General Manager, Kathy Kivley
Utility Compliance Technician III, Margaret Falkner

DISCUSSION

Fire Chief
Robert Lewin

Battalion Chief
Phill Veneris

The information before you provides an overview of the Utility Funds proposed budget for Fiscal Year 2015/2016. As previously directed staff made every effort to bring the Board a balanced Proposed Budget. In some cases, staff provided the alternative for funding the shortfall. Staff is pleased to be able to present this information in a timely manner.

As stated in the mid-year report, the District is complying with the State Controller request to separately report the Homeowners Property Tax Relief revenue. This Tax Relief revenue is reported via the Special Districts Financial Transactions Report that is completed at the end of each fiscal year. Fund 400 is the only fund where this process is not applicable.

For the Fiscal Year 2014/2015 the Board adopted a method for the Allocation of Administrative Cost (Cost Allocation Plan). This methodology is based on actual time spent providing personnel services, payroll, financial reporting and human resources functions to the various funds. Once District staff closes the books in preparation for the audit, the Cost Allocation Plan is adjusted based on the actual expenditures versus the actual cash balance in the Administration Fund 100 and the transfers from the funds are adjusted accordingly. Enclosed is a copy of the Cost Allocation Plan adopted by the Board for FY 2014-2015 and the same recommended methodology for FY 2015/2016.

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Revenues

The property tax revenue projections for Fund 200, 500 and 800 were prepared using the annual property tax increase rate of 2%. The adjusted figures are made available by San Luis Obispo County during the month of September of each fiscal year. Any additional estimated increases by the Auditor Controller are included during the mid-year budget process.

Services and Supplies

Information Technology Services (6110) are considered a direct cost and necessary for the operation of all funds. The cost for contract services which provide security, storage and technical support is allocated based on the number of District computers in each fund. Additionally, this category pays for Website hosting and support. This cost is allocated per computer per fund.

Reserves

Based on the District's adoption of the Capital Improvement Project list, projects were included in the adopted Water Rate Study. Upon completion of the FY 13/14 Audit, it may be necessary to address the remaining cash balances in Funds 200, 400, 500 and 800, and allocate appropriately. The adopted Water Rate Study for Fund 500 states the minimum Fund Reserve target should be established by projecting 25% of the total operating, maintenance and debt service expenses for the prior year plus \$500,000 for emergency capital reserves. During the upcoming year, with the drought, water conservation efforts and potential loss of revenues, staff will analyze this formula and present information to the Board including modification to the existing Reserve Policy.

FUND 200, Bayridge Estates

Revenue

The District operates and maintains gravity wastewater septic collection system for the Bayridge Estates. This system collects wastewater via sewer mains within the public right of way and disposes of the waste into a septic system. The Board approved Resolution No. 2015-08 dated March 5, 2015, authorizing an Interfund Loan to the Bayridge Fund 200 from the Water Fund 500 Capital Outlay Reserve in an amount not to exceed \$125,000 to cover Phase 1 Maintenance Improvement Projects for the community septic system. It is necessary for the Fund 200 to borrow from another fund to cover the estimated cost for the Phase 1 Improvement Projects. The maintenance improvements were necessary prior to the connection to the new County facility. Once the improvements are completed and upon completion of the County project providing sewer collection services to the subdivision, the septic system will be abandoned at a cost. The assessments will remain on the parcels until the fund settles all liabilities due to the District for providing the Phase 1 Maintenance Improvement Projects, septic transition and abandonment services. As you will recall in June 2013, a Prop 218 Protest Hearing was unsuccessfully challenged. This allowed the District to increase the annual assessment from \$201/parcel to \$373/parcel. The current charge per parcel is \$373/parcel with 147 parcels which equates to receipts of \$54,831. This fund receives General Property Taxes which were grandfathered into the Proposition 13 allocation since the Fund was receiving general taxes before the proposition passed. Repayment of the interfund loan is slated to begin after the transition and abandonment of the community septic system is complete. Staff estimates the repayment will take approximately two years. The Loan Agreement states Fund 200 will borrow the funds at the time of construction. The prior year budget reflected funds available for engineering services. Using the FY 2014/2015 budget allowed staff to reduce the total dollars necessary from an interfund loan. The assessment remains in full force and effect until all funds are repaid to the Water Fund 500.

Personnel Services

Salary and Fringe Benefit allocations are calculated at mid-year and at the end of the fiscal year. The current budget amount is based on the Fiscal Year unaudited salary and fringe benefit cost estimates average for the total year from the eight months of actual costs. District staff directly charges the hours on their time sheet per employee per fund with the fringe benefit costs based on the fringe rate for the respective employee. This method provides the accurate information based on actual costs. There is an increase proposed for FY 2015/2016. As the time nears closer for the transition to the County facility, more and more staff time is necessary to address the upcoming Phase 1 Maintenance Improvement Project. Staff plans to initiate the proposal to the County for funding to complete the Phase 2 Maintenance Improvement Project which is estimated to cost approximately \$325,000. Phase 2 must be completed prior to the connection to the County facility.

Services and Supplies

Under Legal & Professional Services any additional Capital Expenses requiring Engineering or Consultant Services will need Board consideration to determine the available funding recommendation.

Capital Outlay

Under this category, any future project require Board consideration in order to determine the appropriate funding recommendation.

FUND 400, Vista de Oro

Revenue

The District operates and maintains gravity wastewater septic collection system for Vista De Oro. This system collect wastewater via sewer mains within the public right of way and disposes the waste into a septic system. Regarding Fund 400, it is important to understand this fund will continue to reflect a deficit until the septic system conversion occurs and over a period of years the District will recoup its prior costs for maintaining the system. The Board approved Resolution No. 2014-13 dated August 7, 2014, authorizing an Interfund Loan for FY 2012/2013, 2013/2014 and 2014/2015 to the Vista de Oro Fund 400 from the Water Fund 500 General Contingency Reserve in an amount not to exceed \$30,000 to cover operating deficits. Because of the necessity to assess the conversion and connection to the new County facility for services, staff hours and expenses are increasing. Due to the residents' successful challenge to the proposed increases in June 2013 through the Proposition 218 process, there are insufficient revenues to cover expenses. The residents will continue to pay the assessment until the fund settles all its liabilities. The District needs to amend the Interfund Loan Agreement during FY 2015/2016 to appropriately cover the shortfall in the revenues from Water Fund 500.

Personnel Services

Salary and Fringe Benefit allocations are calculated at mid-year and at the end of the fiscal year. The current budget amount is based on the Fiscal Year unaudited salary and fringe benefit cost estimates average for the total year from the eight months of actual costs. District staff directly charges the hours on their time sheet per employee per fund with the fringe benefit costs based on the fringe rate for the respective employee. This method provides the accurate information based on actual costs. It is important to note that as the District moves closer to transiting the septic system to the County facility, the system requires more attention to ensure a smooth transition. These costs will continue to rise.

Capital Outlay

In order to provide the connection to the County facility for waste disposal, it is necessary for the District to contract for clean-out of all the lines. This may require Board action in the upcoming fiscal year. On a positive note, in review the tapes of the televised gravity wastewater septic collection system it does not appear any major capital improvements are necessary prior to the connection to the County facility.

FUND 500, Water

Revenues

In February 2015, the Board of Directors adopted the increases in water rates effective July 1, 2015. This rate structure also includes emergency drought rates which the Board will be considering at a future date. Finally in April the Board enacted Stage 3 of the Water Shortage Contingency Plan. These actions directly affect the rates by the number of gallons used by the customers. Estimating any new revenue with the seriousness of the drought and implementation of Stage 3 with a potential consideration of Stage 4 in November 2015, is impossible. Any increased adjustments in revenues will be considered during the mid-year budget review.

Personnel Services

Salary and Fringe Benefit allocations for Funds 200, 400 and 800 are calculated at mid-year and at the end of the fiscal year. The current budget amount reflects the appropriate reductions based on the estimates shown in the other funds. The salary and fringe benefit cost estimates include the information from the prior MOU negotiations, merit increases due and any other adjustments authorized by the Board. District staff directly charges the hours on their time sheet per employee per fund. This information is used to charge Fund 200, 400 and 800 with the proper credit to Fund 500.

Services and Supplies

Under Equipment & Tool, staff recommends the purchase of a minor tool called an electric valve turning tool for use by the crews for the proper valve exercising program. The current practice of using hand held equipment contributes to safety hazards as well as the potential valve stripping requiring replacement. All valves are turned annually.

Under Insurance, Licenses & Regulatory Fees, there is a \$9,000 increase proposed for FY 2015/2016. The fees are increasing for San Luis Obispo County Environmental and Public Works, SWRCB (water permit), Air Pollution Control District and various other regulatory agencies.

Under Legal & Professional, \$25,000 is discussed under the Capital Outlay description and the balance is relative to staff's intent to finalized the ISJ during the this fiscal year if not prior to the end of Calendar Year 2015.

Under Rent and Utilities, the majority of the recommended increase is for Brine Disposal for the Nitrate Treatment Facility.

Under Repairs and Maintenance, the reduction in maintenance on wells separates the cost from maintenance of distribution system for better tracking. Any extensive repairs to the District's well are reflected under professional services.

Capital Outlay

As a reminder the Board adopted a Water Capital Improvement Project (CIP) in September 2014. This list delineates multiple projects in various categories. This CIP was also incorporated in the Water Rate Study adopted in February 2015. The Capital Improvement Project for Infrastructure includes two items from the Basin Plan (ISJ) and the approved Capital

Improvement Projects List from the Water Master Plan. Staff budgeted \$50,000 for the intertie project with Golden State Water Company (GSWC). That project's design is in the hands of GSWC at this time for slight modifications. The District intended to complete the intertie project prior to this fiscal year (14/15). The \$150,000 is to allow staff to work towards construction of the upper aquifer well at 8th Street and El Moro. The design and permitting is estimated at \$60,000 according to Table 6 of the Water Rate Study. This project is on the list of the high scoring priority projects for the County of San Luis Obispo's Integrated Regional Water Management (IRWM) Plan. Staff plans to apply for third round of available grant funding (Prop 84). In order to score higher points on the District's application, the District project must be "shovel ready" by April 1, 2016. Staff previously initiated the environmental process on this CIP in order to facilitate obtaining a coastal development permit. In the FY 2015/2016 budget staff allocated monies for the engineering process. Should the District be successful in securing the grant, this money will offset construction costs and possibly some engineering services cost. Approximately \$30,000 (5% of estimated total) is available for matching funds. Any matching fund commitment of up to 25% earns additional points on the grant application which helps in the decision process by California Department of Water Resources (DWR). The grant applications are due to DWR in August 2015. These two projects are budget in Infrastructures in an amount of \$150,000 and Professional and Consulting Service in the amount of \$25,000 both funded from the Capital Outlay Reserve.

The District continues the on-going replacement of water meters. This replacement is part of the recently adopted CIP list. Staff is recommending \$50,000 under Equipment & Tools, Meters Purchases & Replacements. This project is listing as a multi-year project in the CIP and is funded from the Capital Outlay Reserve.

In FY 2014/2015 budget, funds were allocated for architectural design for Phase 1 of the renovation of the Water Yard Facility at 953 El Morro Avenue, Los Osos. As the Board is aware the house serves as facilities for the Utility Crew. The final drawings for the Phase 1 construction are under review--bathroom, kitchen/staff break area and office space renovation and Title 24 improvements. Phase 2 includes additional Title 24 improvements, roof expansion and crew office renovation. Phase 3 includes remodel of the existing shop. This project was included on the recently Board adopted CIP in September 2014. As incorporated in the CIP, this is a three to four year phased project and is funded through the Capital Outlay Reserve in the amount of \$45,000 and reflected in the budget under Building, Structures & Improvements category.

Fund 800, Drainage

Personnel Services

Salary and Fringe Benefit allocations are calculated at mid-year and at the end of the fiscal year. The current budget amount is based on the Fiscal Year unaudited salary and fringe benefit cost estimates average for the total year from the eight months of actual costs. District staff directly charges the hours on their time sheet per employee per fund with the fringe benefit costs based on the fringe rate for the respective employee. This method provides the accurate information based on actual costs.

Services and Supplies

Under Legal & Professional additional funds are required for the additional work necessary for compliance on the MS4 permit.

Under Repairs & Maintenance, Grounds & Collection Systems, staff recommends the funding of the annual chipping event for the Fire Department. Staff was potentially notified the Fire Safe Council may not be able to provide adequate funding for FY 2014/2015 and FY 2015/2016.

Staff feels this program is extremely important in reduction of drainage issues in the Los Osos community.

FINANCIAL IMPACT

Fund 200, Bayridge Estates – Based on the proposed budget, the expenditures exceed the revenues. It is important to note as with FY 2014/2015, the Board authorized staff to only fund the Decommission Reserve after covering the expenditures with the existing revenues and utilizing prior revenues to cover the remaining shortages. Kindly note, affecting this same direction this year, removing the Decommission Reserve, the Proposed FY 2015/2016 Budget balances. Staff requests the Proposed Budget be adopted as shown with this direction to staff. This allow the staff after covering the expenditures to place the remaining funds in the Decommission Reserve.

Fund 400, Vista de Oro – Based on the proposed budget, the expenditures exceed the revenues. Currently Fund 400 is operating on monies loaned from the Water Fund 500 per Board authorization in August 2014. Fund 400 has exceed the \$30,000 limit and it will be necessary for staff to present amended documents to increase the borrowing authority to the Board in the near future. Staff recommends adopting this budget as presented with direction to staff to return with amended interfund loan documents. An alternative to providing additional loan funds is attempting to conduct another Proposition 218 process. Staff does not recommend this alternative as connection to the County facility appears to be in the very near future.

Fund 500, Water -- Based on the proposed budget, the expenditures exceed the revenues. The Capital Outlay Reserve for Fund 500 is recommended to reimburse the operating fund in the amount of \$270,000 for the on-going and new CIP recommended allocation. Should the Board approve this direction, the Proposed Budget for FY 2015/2016 for Water Fund 500 reflects an estimated positive balance of \$10,708.

Fund 800, Drainage – Based on the proposed budget, the revenues exceed the expenditures. Staff recommends adopting this budget as presented.

Attachments:

- Copy of Bayridge Estates Fund 200 FY 2015/2016 Proposed Budget
- Copy of Vista de Oro Fund 400 FY 2015/2016 Proposed Budget
- Copy of Water Fund 500 FY 2015/2016 Proposed Budget
- Copy of Drainage Fund 800 FY 2015/2016 Proposed Budget
- Copy of Reserve Statement Effective January 1, 2015

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
200 - 200 - Bayridge

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
Revenues						
Property Taxes						
4035	6,731	7,412	7,227	7,372	145	2.01%
	6,731	7,412	7,227	7,372	145	2.01%
Special Taxes & Assessments						
4550	29,547	56,331	54,831	54,831	0	0.00%
	29,547	56,331	54,831	54,831	0	0.00%
Use of Money & Property						
4501	(4)	0	0	0	0	0.00%
	(4)	0	0	0	0	0.00%
	36,274	63,743	62,058	62,203	145	0.23%
Expenditures						
Personnel						
Salaries/Wages						
8054	0	8,393	8,400	12,000	3,600	42.86%
	0	8,393	8,400	12,000	3,600	42.86%
Payroll Taxes & Benefits						
5010	0	2,971	2,500	4,000	1,500	60.00%
	0	2,971	2,500	4,000	1,500	60.00%
	0	11,364	10,900	16,000	5,100	46.79%
Services & Supplies						
Contract Services						
7250	650	165	850	850	0	0.00%
	650	165	850	850	0	0.00%
Equipment & Tools						
7242	0	111	100	100	0	0.00%
	0	111	100	100	0	0.00%
Insurance, Licenses & Regulatory Fees						
6342	760	970	1,044	1,044	0	0.00%
6345	257	260	260	260	0	0.00%
	1,017	1,230	1,304	1,304	0	0.00%

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
200 - 200 - Bayridge

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets	
Legal & Professional							
7320	Professional & Consulting Services	840	1,250	500	1,000	500	100.00%
7326	Legal Services	451	0	0	0	0	0.00%
	Total Legal & Professional	1,291	1,250	500	1,000	500	100.00%
Office/Operations							
7160	Postage, Shipping & Mail Supplies	64	0	70	70	0	0.00%
7249	Safety Supplies	0	30	0	0	0	0.00%
	Total Office/Operations	64	30	70	70	0	0.00%
Rent & Utilities							
6000	Cell Phones	169	129	160	200	40	25.00%
7352	Rent - Offices & Other Structures	0	0	0	800	800	0.00%
8610	Electric	2,911	2,550	2,500	2,500	0	0.00%
8645	Septage Handling	5,500	6,240	12,000	10,000	(2,000)	(16.67)%
8670	Street Lighting	4,587	4,704	6,100	5,100	(1,000)	(16.39)%
	Total Rent & Utilities	13,167	13,623	20,760	18,600	(2,160)	(10.40)%
Repairs & Maintenance							
6405	R & M - Extinguishers	12	18	12	12	0	0.00%
6640	Maint - Equip & Other Non-Structural Fixed Assets	13	100	150	150	0	0.00%
6750	R & M - Minor Tools & Equipment	27	51	100	100	0	0.00%
6800	R & M - Grounds & Collection Systems	7,490	0	11,000	5,000	(6,000)	(54.55)%
6900	Maint-Buildings & Structures	2,175	457	4,000	4,000	0	0.00%
	Total Repairs & Maintenance	9,718	626	15,262	9,262	(6,000)	(39.31)%
Vehicle Maintenance & Repairs							
7211	Misc Fuel & Diesel	484	504	500	500	0	0.00%
7220	Gasoline	647	648	800	800	0	0.00%
	Total Vehicle Maintenance & Repairs	1,131	1,152	1,300	1,300	0	0.00%
	Total Services & Supplies	27,038	18,186	40,146	32,486	(7,660)	(19.08)%
Allocation of Administrative Costs							
5133	Benefits Charged by Water	3,127	0	0	0	0	0.00%
5197	Benefits Billings to Other Funds	122	0	0	0	0	0.00%
8153	Labor Charged by Water	7,705	0	0	0	0	0.00%

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
200 - 200 - Bayridge

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
8801 Admin Cost Allocation	8,604	0	0	0	0	0.00%
Total Allocation of Administrative Costs	19,558	0	0	0	0	0.00%
Capital Outlay						
9006 Infrastructures	0	0	7,700	0	(7,700)	(100.00)%
Total Capital Outlay	0	0	7,700	0	(7,700)	(100.00)%
Reserves						
9982 *Septic System Decommission Reserve	0	8,000	8,000	8,000	0	0.00%
Total Reserves	0	8,000	8,000	8,000	0	0.00%
Transfers						
9511 Interfund Transfer Out	0	6,798	5,407	13,712	8,305	153.60%
Total Transfers	0	6,798	5,407	13,712	8,305	153.60%
Total Expenditures	46,595	44,348	72,153	70,198	(1,955)	(2.71)%
Net Revenues over Expenditures	(10,321)	19,395	(10,095)	(7,995)	2,100	

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
400 - 400 - Vista de Oro

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
Revenues						
Special Taxes & Assessments						
4550	15,768	16,531	15,768	15,768	0	0.00%
	15,768	16,531	15,768	15,768	0	0.00%
	15,768	16,531	15,768	15,768	0	0.00%
Expenditures						
Personnel						
Salaries/Wages						
8054	0	9,339	9,330	12,000	2,670	28.62%
	0	9,339	9,330	12,000	2,670	28.62%
Payroll Taxes & Benefits						
5010	0	3,336	3,300	4,000	700	21.21%
	0	3,336	3,300	4,000	700	21.21%
	0	12,674	12,630	16,000	3,370	26.68%
Services & Supplies						
Contract Services						
7250	650	165	840	840	0	0.00%
7342	0	100	0	0	0	0.00%
	650	265	840	840	0	0.00%
Equipment & Tools						
7242	0	111	50	50	0	0.00%
	0	111	50	50	0	0.00%
Insurance, Licenses & Regulatory Fees						
6342	760	970	1,044	1,044	0	0.00%
6345	18	18	20	20	0	0.00%
	778	988	1,064	1,064	0	0.00%
Legal & Professional						
7320	840	200	500	500	0	0.00%
7326	522	0	0	0	0	0.00%
	1,362	200	500	500	0	0.00%
Office/Operations						

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
400 - 400 - Vista de Oro

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
7160 Postage, Shipping & Mail Supplies	33	0	0	0	0	0.00%
7249 Safety Supplies	0	30	0	0	0	0.00%
Total Office/Operations	33	30	0	0	0	0.00%
Other Expense						
7016 Significant Value Purchase-Field Use	780	0	0	0	0	0.00%
Total Other Expense	780	0	0	0	0	0.00%
Rent & Utilities						
6000 Cell Phones	169	129	200	200	0	0.00%
7352 Rent - Offices & Other Structures	0	0	0	730	730	0.00%
8610 Electric	315	326	300	400	100	33.33%
8645 Septage Handling	7,445	4,690	7,500	7,500	0	0.00%
8670 Street Lighting	1,647	1,840	2,000	2,000	0	0.00%
Total Rent & Utilities	9,576	6,985	10,000	10,830	830	8.30%
Repairs & Maintenance						
6405 R & M - Extinguishers	12	18	12	12	0	0.00%
6750 R & M - Minor Tools & Equipment	0	6	0	0	0	0.00%
6800 R & M - Grounds & Collection Systems	8,808	25	3,000	3,000	0	0.00%
6900 Maint-Buildings & Structures	25	0	0	0	0	0.00%
Total Repairs & Maintenance	8,845	50	3,012	3,012	0	0.00%
Vehicle Maintenance & Repairs						
7211 Misc Fuel & Diesel	242	252	300	300	0	0.00%
7220 Gasoline	323	324	700	700	0	0.00%
Total Vehicle Maintenance & Repairs	565	576	1,000	1,000	0	0.00%
Total Services & Supplies	22,588	9,204	16,466	17,296	830	5.04%
Allocation of Administrative Costs						
5133 Benefits Charged by Water	3,237	0	0	0	0	0.00%
8153 Labor Charged by Water	7,656	0	0	0	0	0.00%
8801 Admin Cost Allocation	3,744	0	0	0	0	0.00%
Total Allocation of Administrative Costs	14,637	0	0	0	0	0.00%
Debt Service						
9807 Interfund Loan Proceeds	(1,517)	0	0	0	0	0.00%
Total Debt Service	(1,517)	0	0	0	0	0.00%

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
400 - 400 - Vista de Oro

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
Transfers						
9511 Interfund Transfer Out	0	9,502	5,428	9,799	4,371	80.53%
Total Transfers	0	9,502	5,428	9,799	4,371	80.53%
Total Expenditures	35,708	31,380	34,524	43,095	8,571	24.83%
Net Revenues over Expenditures	(19,940)	(14,849)	(18,756)	(27,327)	(8,571)	

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
500 - 500 - Water

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
Revenues						
Property Taxes						
4035	176,269	188,431	187,249	191,000	3,751	2.00%
	176,269	188,431	187,249	191,000	3,751	2.00%
Service Charges & Fees						
4030	1,703,073	1,927,525	1,850,000	1,757,500	(92,500)	(5.00)%
4102	0	35,515	0	50,000	50,000	0.00%
4103	0	94,640	0	100,000	100,000	0.00%
4104	0	3,482	0	0	0	0.00%
4111	0	891	1,000	0	(1,000)	(100.00)%
4112	0	1,625	0	0	0	0.00%
4114	0	44,587	0	0	0	0.00%
4931	12,350	9,085	14,000	15,000	1,000	7.14%
4932	36,577	36,275	35,000	20,000	(15,000)	(42.86)%
4933	0	10,320	5,400	5,400	0	0.00%
4937	0	410	0	0	0	0.00%
	1,752,000	2,164,356	1,905,400	1,947,900	42,500	2.23%
Other Revenues						
4928	30	0	300	300	0	0.00%
4929	383	0	0	0	0	0.00%
4930	6,638	514	5,000	500	(4,500)	(90.00)%
4935	430	58	100	100	0	0.00%
4936	1,066	2,828	2,000	1,000	(1,000)	(50.00)%
	8,547	3,400	7,400	1,900	(5,500)	(74.32)%
Use of Money & Property						
4500	580	0	0	0	0	0.00%
4501	255	150	0	0	0	0.00%
4934	3,800	0	1,000	2,000	1,000	100.00%
	4,635	150	1,000	2,000	1,000	100.00%
	1,941,451	2,356,336	2,101,049	2,142,800	41,751	1.99%

Expenditures

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
500 - 500 - Water

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
Personnel						
Salaries/Wages						
8012	Call Back Pay	1,025	138	1,000	500	(500) (50.00)%
8018	Holiday Pay	11,832	15,276	0	0	0 0.00%
8045	Overtime Pay	2,247	4,072	17,728	6,000	(11,728) (66.16)%
8051	Floating Holiday Pay	2,056	2,213	0	0	0 0.00%
8054	Salaries & Wages - Regular	224,452	283,927	365,852	379,433	13,581 3.71%
8056	Retroactive Pay	0	348	0	0	0 0.00%
8060	Sick Leave Pay	9,894	13,028	0	0	0 0.00%
8063	Standby Pay	17,052	17,254	17,446	23,800	6,354 36.42%
8066	Comp Time Used	14,146	15,267	0	0	0 0.00%
8081	Vacation Pay	10,590	13,086	0	0	0 0.00%
	Total Salaries/Wages	293,293	364,609	402,026	409,733	7,707 1.92%
Payroll Taxes & Benefits						
5010	Total Fringe Benefits	0	(10,886)	(10,300)	(13,500)	(3,200) 31.07%
5030	Life Insurance	4,107	5,418	5,061	5,600	539 10.65%
5050	Medicare - ER	4,117	5,609	5,050	6,650	1,600 31.68%
5060	Cafeteria Plan	39,654	58,913	73,500	60,000	(13,500) (18.37)%
5070	Retirement ER - Regular	33,232	39,621	43,642	51,605	7,963 18.25%
5071	Retirement ER - Addl Pick-up	14,997	17,143	0	9,642	9,642 0.00%
5075	Retirees Medical - ER	1,395	3,295	690	2,760	2,070 300.00%
5120	Workers Comp Insurance - ER	18,440	18,213	13,863	15,534	1,671 12.05%
5124	Retirement - ER - Tier 2	39	3,782	0	5,300	5,300 0.00%
	Total Payroll Taxes & Benefits	115,981	141,108	131,506	143,591	12,085 9.19%
Employment Services						
5100	Unemployment Insurance - ER	2,537	5,216	0	0	0 0.00%
6200	EE Hiring, Advertising & Other Costs	0	0	100	100	0 0.00%
6230	Medical Exam	0	270	200	200	0 0.00%
6250	Temporary Agency Help	19,261	2,321	2,500	2,500	0 0.00%
7347	Pension Services Expense	0	0	100	100	0 0.00%
	Total Employment Services	21,797	7,807	2,900	2,900	0 0.00%
	Total Personnel	431,071	513,524	536,432	556,224	19,792 3.69%

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
500 - 500 - Water

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets	
Services & Supplies							
Clothing & Uniform							
7246	Uniform & Gear	1,712	2,366	3,000	3,000	0	0.00%
	Total Clothing & Uniform	1,712	2,366	3,000	3,000	0	0.00%
Contract Services							
6100	Labor & Support-IT Services	14,249	6,370	10,000	10,000	0	0.00%
6110	IT Purchased Services	1,199	3,453	12,000	14,000	2,000	16.67%
6142	GIS Maps/Data/Upgrades	860	0	0	0	0	0.00%
7204	Cleaning Supplies, Laundry & Towel Service	370	46	300	300	0	0.00%
7250	Water Quality Testing	33,637	37,021	50,000	50,000	0	0.00%
7255	Security	4,907	4,907	9,000	9,000	0	0.00%
7301	Contract Maint Services	0	0	10,000	10,000	0	0.00%
7321	Janitorial Cleaning & Supplies	1,599	2,057	1,800	1,800	0	0.00%
7342	Public Meeting Recordings	575	0	0	0	0	0.00%
	Total Contract Services	57,396	53,853	93,100	95,100	2,000	2.15%
Equipment & Tools							
7242	Minor Tools, Accessories & Field Machines	5,426	1,478	3,000	6,000	3,000	100.00%
7253	Rent - Equipment	0	2,190	3,000	3,000	0	0.00%
7256	Meters Purchases & Replacements	4,128	23,457	0	50,000	50,000	0.00%
	Total Equipment & Tools	9,554	27,125	6,000	59,000	53,000	883.33%
Financial Services							
7310	Bank Service Charges	0	0	200	200	0	0.00%
7401	Discounts Taken	(288)	(224)	0	0	0	0.00%
7600	Prior Year Expense Refunded/Adjustmt	(1,000)	0	0	0	0	0.00%
9153	Bad Debt Expense	0	2,368	3,000	3,000	0	0.00%
	Total Financial Services	(1,288)	2,144	3,200	3,200	0	0.00%
Insurance, Licenses & Regulatory Fees							
6120	Computer Licenses	3,625	6,408	5,000	5,000	0	0.00%
6340	Misc Fees	1,373	0	3,000	3,000	0	0.00%
6342	Fees - Regulatory	13,113	12,294	6,000	15,000	9,000	150.00%
6343	Lien & Notary Fees	137	(9)	100	100	0	0.00%
6345	Property Taxes & Assessments Costs	2,135	2,144	3,000	3,000	0	0.00%

LOS OSOS COMMUNITY SERVICES DISTRICT

Statement of Revenues and Expenditures - Unposted Transactions Included In Report
500 - 500 - Water

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
7325 Insurance	17,501	15,683	20,000	20,000	0	0.00%
Total Insurance, Licenses & Regulatory Fees	37,884	36,520	37,100	46,100	9,000	24.26%
Legal & Professional						
7318 Professional & Consulting Srvcs-ISJ	9,930	4,305	28,000	30,000	2,000	7.14%
7319 Other Professional Services	250	5,786	15,000	30,000	15,000	100.00%
7320 Professional & Consulting Services	26,158	42,916	45,000	100,000	55,000	122.22%
7326 Legal Services	9,794	10,947	30,000	30,000	0	0.00%
7336 Legal Services- ISJ	17,318	41,674	0	20,000	20,000	0.00%
7340 Legal Notifications & Mandated Advertising	221	0	1,000	2,000	1,000	100.00%
9155 ISJ - Inter-Agency Cost Sharing	68,001	29,782	45,000	45,000	0	0.00%
Total Legal & Professional	131,673	135,410	164,000	257,000	93,000	56.71%
Office/Operations						
6121 IT-Supplies & Miscellaneous	0	0	1,000	0	(1,000)	(100.00)%
6130 Computer Hardware	0	369	3,200	5,000	1,800	56.25%
6140 Computer Software	0	336	2,000	5,000	3,000	150.00%
7140 General Supplies & Minor Equipment	1,890	2,764	3,000	3,000	0	0.00%
7160 Postage, Shipping & Mail Supplies	13,184	15,884	15,000	15,000	0	0.00%
7170 Special Purpose Forms and Printing	444	0	0	0	0	0.00%
7180 Billing Supplies, Forms & Printing	370	431	1,000	1,000	0	0.00%
7225 Mapping Costs	123	0	0	0	0	0.00%
7226 Membership & Dues	413	80	200	200	0	0.00%
7230 Misc Small Parts & Supplies	2,212	2,921	4,200	1,000	(3,200)	(76.19)%
7237 Process Control & Treatment Supplies	3,046	5,375	6,000	6,000	0	0.00%
7239 Water Treatment Chemicals	7,803	10,640	13,000	13,000	0	0.00%
7249 Safety Supplies	2,600	3,452	3,000	3,000	0	0.00%
Total Office/Operations	32,085	42,251	51,600	52,200	600	1.16%
Other Expense						
7016 Significant Value Purchase-Field Use	18,663	0	0	0	0	0.00%
7330 Misc Operating Expenses	0	0	100	100	0	0.00%
7348 Water Conservation Program	760	11,996	0	30,000	30,000	0.00%
8650 Clean-Up Costs	642	0	0	0	0	0.00%
8735 Misc Department Admin	0	768	1,000	1,000	0	0.00%

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
500 - 500 - Water

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
Total Other Expense	20,065	12,765	1,100	31,100	30,000	2,727.27%
Rent & Utilities						
6000 Cell Phones	1,886	1,546	1,700	1,700	0	0.00%
6025 Telephone	6,362	6,558	6,000	9,000	3,000	50.00%
7352 Rent - Offices & Other Structures	5,280	8,880	12,000	25,158	13,158	109.65%
8610 Electric	92,992	96,675	130,000	130,000	0	0.00%
8620 Gas Service	265	202	400	400	0	0.00%
8630 Trash Services	1,207	1,212	1,500	1,500	0	0.00%
8644 Disposal Services	0	375	30,000	80,000	50,000	166.67%
8670 Street Lighting	262	295	650	650	0	0.00%
Total Rent & Utilities	108,255	115,744	182,250	248,408	66,158	36.30%
Repairs & Maintenance						
6405 R & M - Extinguishers	236	328	400	400	0	0.00%
6422 R & M - Hydrants	0	57	100	100	0	0.00%
6640 Maint - Equip & Other Non-Structural Fixed Assets	7,206	6,107	20,000	3,000	(17,000)	(85.00)%
6641 R & M - Wells	13,523	29,976	60,000	6,000	(54,000)	(90.00)%
6750 R & M - Minor Tools & Equipment	4,914	510	2,000	2,000	0	0.00%
6800 R & M - Grounds & Collection Systems	350	2,085	2,000	2,000	0	0.00%
6900 Maint-Buildings & Structures	8,490	8,917	10,000	10,000	0	0.00%
7241 Maint-Water Distribution System	12,060	12,829	12,000	15,000	3,000	25.00%
Total Repairs & Maintenance	46,779	60,809	106,500	38,500	(68,000)	(63.85)%
Travel & Training						
7323 Books, Publications & Subscriptions	0	50	150	150	0	0.00%
7324 Education & Training Fees	60	114	500	500	0	0.00%
8410 Certifications	60	265	500	1,000	500	100.00%
8539 Meals	0	52	0	0	0	0.00%
8541 Meals- Local Area - DIR	0	21	0	0	0	0.00%
8550 Mileage Reimbursement & Parking - EE	130	402	500	500	0	0.00%
Total Travel & Training	250	903	1,650	2,150	500	30.30%
Vehicle Maintenance & Repairs						
7211 Misc Fuel & Diesel	3,952	3,378	5,500	5,500	0	0.00%

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
500 - 500 - Water

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
7220 Gasoline	4,107	4,509	6,000	5,000	(1,000)	(16.67)%
7228 Markings & Other Misc Services	1,151	1,590	1,000	1,000	0	0.00%
7232 Vehicle Repairs - Parts, Tires & Lubricants	0	6,659	7,500	7,500	0	0.00%
Total Vehicle Maintenance & Repairs	<u>9,210</u>	<u>16,136</u>	<u>20,000</u>	<u>19,000</u>	<u>(1,000)</u>	<u>(5.00)%</u>
Total Services & Supplies	453,575	506,026	669,500	854,758	185,258	27.67%
Allocation of Administrative Costs						
5132 Benefits Charges by Admin	8,139	0	0	0	0	0.00%
5197 Benefits Billings to Other Funds	(16,867)	0	0	0	0	0.00%
8152 Labor Charged by Admin	20,387	0	0	0	0	0.00%
8197 Labor Billings to Other Funds	(42,744)	0	0	0	0	0.00%
8801 Admin Cost Allocation	<u>464,976</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.00%</u>
Total Allocation of Administrative Costs	433,892	0	0	0	0	0.00%
Capital Outlay						
9000 Building, Structures & Improvements	146,432	2,850	10,000	45,000	35,000	350.00%
9006 Infrastructures	9,585	0	90,000	150,000	60,000	66.67%
9058 System & Software - Capital	0	21,285	0	0	0	0.00%
9059 Vehicles, Equipment, & Fixtures	<u>52,038</u>	<u>33,857</u>	<u>75,000</u>	<u>0</u>	<u>(75,000)</u>	<u>(100.00)%</u>
Total Capital Outlay	208,056	57,992	175,000	195,000	20,000	11.43%
Debt Service						
9022 Debt Service - Principal	134,335	138,432	142,655	147,005	4,350	3.05%
9023 Debt Service - Interest & Annual Fee	<u>144,871</u>	<u>140,308</u>	<u>135,607</u>	<u>130,761</u>	<u>(4,846)</u>	<u>(3.57)%</u>
Total Debt Service	279,206	278,741	278,262	277,766	(496)	(0.18)%
Reserves						
9504 *Vehicle Replacement Reserve	38,800	0	0	0	0	0.00%
9571 *Capital Outlay Reserve	199,619	65,000	65,000	65,000	0	0.00%
9572 *General Contingency (Operations) Reserve	25,000	25,000	25,000	25,000	0	0.00%
9573 *Year-end Encumbrance(contra to 3200)	39,398	0	0	0	0	0.00%
9574 *Year-end Fund Reservation(contra to 3200)	6,610	0	0	0	0	0.00%
9980 *Basin Management Reserve	39,200	0	0	0	0	0.00%
9983 *Water Conservation Reserve	25,000	0	0	0	0	0.00%
9988 *Water Rate Stabilization Reserve	<u>32,000</u>	<u>32,000</u>	<u>32,000</u>	<u>32,000</u>	<u>0</u>	<u>0.00%</u>
Total Reserves	405,627	122,000	122,000	122,000	0	0.00%

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
500 - 500 - Water

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
Transfers						
9505 Transfers In - Other Funds	0	10,000	0	0	0	0.00%
9511 Interfund Transfer Out	0	452,758	383,406	396,344	12,938	3.37%
Total Transfers	0	462,758	383,406	396,344	12,938	3.37%
Total Expenditures	2,211,426	1,941,041	2,164,600	2,402,092	237,492	10.97%
Net Revenues over Expenditures	(269,975)	415,296	(63,551)	(259,292)	(195,741)	

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
800 - 800 - Drainage

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
Revenues						
Property Taxes						
4035	0	18,310	18,172	18,536	364	2.00%
	0	18,310	18,172	18,536	364	2.00%
Special Taxes & Assessments						
4400	95,422	97,681	95,424	95,424	0	0.00%
	95,422	97,681	95,424	95,424	0	0.00%
Other Revenues						
4935	49	226	300	200	(100)	(33.33)%
	49	226	300	200	(100)	(33.33)%
	95,471	116,217	113,896	114,160	264	0.23%
Expenditures						
Personnel						
Salaries/Wages						
8045	0	287	0	0	0	0.00%
8054	0	12,905	13,000	16,000	3,000	23.08%
	0	13,192	13,000	16,000	3,000	23.08%
Payroll Taxes & Benefits						
5010	0	4,580	4,500	5,500	1,000	22.22%
	0	4,580	4,500	5,500	1,000	22.22%
	0	17,771	17,500	21,500	4,000	22.86%
Services & Supplies						
Clothing & Uniform						
7246	0	0	200	200	0	0.00%
	0	0	200	200	0	0.00%
Equipment & Tools						
7242	219	236	300	300	0	0.00%
	219	236	300	300	0	0.00%
Financial Services						
7349	453	0	0	0	0	0.00%
	453	0	0	0	0	0.00%

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
800 - 800 - Drainage

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
Insurance, Licenses & Regulatory Fees						
6120	0	519	500	500	0	0.00%
6342	7,671	5,244	6,000	6,000	0	0.00%
6345	100	101	1,000	1,000	0	0.00%
	<u>7,771</u>	<u>5,864</u>	<u>7,500</u>	<u>7,500</u>	<u>0</u>	<u>0.00%</u>
Legal & Professional						
7320	5,782	3,633	6,000	7,000	1,000	16.67%
	<u>5,782</u>	<u>3,633</u>	<u>6,000</u>	<u>7,000</u>	<u>1,000</u>	<u>16.67%</u>
Office/Operations						
7230	177	83	100	100	0	0.00%
7249	25	55	0	0	0	0.00%
	<u>201</u>	<u>138</u>	<u>100</u>	<u>100</u>	<u>0</u>	<u>0.00%</u>
Other Expense						
7330	250	250	300	300	0	0.00%
7346	50	0	0	0	0	0.00%
	<u>300</u>	<u>250</u>	<u>300</u>	<u>300</u>	<u>0</u>	<u>0.00%</u>
Rent & Utilities						
6000	1,014	773	1,000	1,000	0	0.00%
6025	191	197	200	200	0	0.00%
7352	0	0	0	1,100	1,100	0.00%
8610	878	872	1,000	1,000	0	0.00%
8670	462	549	900	900	0	0.00%
	<u>2,545</u>	<u>2,390</u>	<u>3,100</u>	<u>4,200</u>	<u>1,100</u>	<u>35.48%</u>
Repairs & Maintenance						
6640	228	262	300	300	0	0.00%
6750	241	97	300	300	0	0.00%
6800	91	408	100	3,000	2,900	2,900.00%
6900	739	603	100	500	400	400.00%
	<u>1,298</u>	<u>1,370</u>	<u>800</u>	<u>4,100</u>	<u>3,300</u>	<u>412.50%</u>
Travel & Training						
8550	13	13	50	50	0	0.00%

LOS OSOS COMMUNITY SERVICES DISTRICT
Statement of Revenues and Expenditures - Unposted Transactions Included In Report
800 - 800 - Drainage

(In Whole Numbers)

	Actuals FY 2012/2013	Unaudited Actuals FY 13/14	Total Revised Budget FY 14/15	Total Proposed Budget FY 15/16	Difference in Budgets	Percentage Difference in Budgets
Total Travel & Training	13	13	50	50	0	0.00%
Vehicle Maintenance & Repairs						
7211 Misc Fuel & Diesel	980	908	1,000	1,150	150	15.00%
7220 Gasoline	1,164	1,166	1,250	1,350	100	8.00%
Total Vehicle Maintenance & Repairs	2,143	2,074	2,250	2,500	250	11.11%
Total Services & Supplies	20,726	15,968	20,600	26,250	5,650	27.43%
Allocation of Administrative Costs						
5133 Benefits Charged by Water	4,737	0	0	0	0	0.00%
8153 Labor Charged by Water	11,115	0	0	0	0	0.00%
8801 Admin Cost Allocation	22,668	0	0	0	0	0.00%
Total Allocation of Administrative Costs	38,520	0	0	0	0	0.00%
Capital Outlay						
9100 Pump Purchase	17,574	0	0	0	0	0.00%
Total Capital Outlay	17,574	0	0	0	0	0.00%
Reserves						
9571 *Capital Outlay Reserve	27,958	10,000	10,000	10,000	0	0.00%
9572 *General Contingency (Operations) Reserve	5,000	5,000	5,000	5,000	0	0.00%
Total Reserves	32,958	15,000	15,000	15,000	0	0.00%
Transfers						
9511 Interfund Transfer Out	0	45,440	36,138	39,017	2,879	7.97%
Total Transfers	0	45,440	36,138	39,017	2,879	7.97%
Total Expenditures	109,777	94,179	89,238	101,767	12,529	14.04%
Net Revenues over Expenditures	(14,306)	22,038	24,658	12,393	(12,265)	

RESERVE STATEMENT
Effective January 1, 2015

Account Code	Category & Fund	Beginning BALANCE 06/30/2013	Budget FY 2013/2014	Gain/ (Use) FY 2013/2014	Subtotal FY 2013/2014	Adopted Budget FY 2014/2015	Gain/ (Use) FY 2014/2015	Projected Ending BALANCE
100 - Administration								
3111	General Contingency Reserve	20,918			20,918	(17,947)		2,971
3164	Information Technology Reserve	28,741			28,741		175	28,916
3166	Septic Maintenance Reserve (Fire Station)	15,801			15,801			15,801
Total - 100 - Administration		\$65,460	\$0	\$0	\$65,460	(\$17,947)	\$175	\$47,688
200 - Bayridge Estates								
3109	Septic System Decommission Reserve	0	\$8,000		\$8,000	\$8,000	21	\$16,021
301 - Fire								
3110	Replacement Reserve - Vehicle, Equip & Fire Engines	247,962	109,099	35,792	392,853	92,441		485,294
3111	General Contingency Reserve	61,381			61,381		1,942	63,323
3115	Public Facilities Fee Reserve	51,193			51,193		153	51,346
3160	Capital Outlay Reserve	613,873			613,873			613,873
Total - 301 - Fire		\$974,409	\$109,099	\$35,792	\$1,119,300	\$92,441	\$2,095	\$1,213,836
400 - Vista de Oro								
3193	Reserve - Internal Loan	1,517.00	² \$30,000		\$31,517			\$31,517
500 - Water								
3050	Water Quality Trust Reserve (0331)	91,517			91,517			91,517
3110	Replacement Reserve - Vehicle, Equip & Fire Engines	42,197			42,197		(42,197)	0
3111	General Contingency Reserve	178,783	25,000	(30,000)	173,783	25,000	(30,478)	168,305
3160	Capital Outlay Reserve	493,407	65,000	665	559,072	65,000	(60,000)	564,072
3163	Water Stabilization Reserve	128,856	32,000		160,856	32,000		192,856
3165	Basin Management Plan Reserve	39,288			39,288			39,288
3167	Water Conservation Reserve	25,031			25,031		(20,000)	5,031
Total - 500 - Water		\$999,079	\$122,000	(\$29,335)	\$1,091,744	\$122,000	(\$152,675)	\$1,061,069
600 - Wastewater								
3091	Restricted-2002 Bond Redemption Reserve	726,664	¹ 25,000	6,435	758,100	25,000		783,100
3120	LOCSD Community Fund	0	⁴	173,995	173,999			173,999
3159	Prepaid Assessment Reserve						142,670	142,670
3161	Disputed Reserve Fund						103,013	103,013
3191	Restricted Funds-SRF	1,407,913		(1,405,977)	1,936			1,936
Total - 600 - Wastewater		\$2,134,577	\$25,000	(\$1,225,547)	\$934,035	\$25,000	\$245,683	\$1,204,717
650 - Solid Waste								
3111	General Contingency Reserve	\$185,486	⁷ (\$21,605)	\$283	\$164,164	(\$46,660)	\$529	\$118,033
800 - Drainage								
3111	General Contingency Reserve	27,238	5,000		32,238	5,000	553	37,791
3160	Capital Outlay Reserve	184,995	10,000	60	195,055	10,000		205,055
Total - 800 - Drainage		\$212,233	\$15,000	\$60	\$227,293	\$15,000	\$553	\$241,620

RESERVE STATEMENT
Effective January 1, 2015

Account Code	Category & Fund	Beginning BALANCE 06/30/2013	Budget FY 2013/2014	Gain/ (Use) FY 2013/2014	Subtotal FY 2013/2014	Adopted Budget FY 2014/2015	Gain/ (Use) FY 2014/2015	Projected Ending BALANCE
--------------	-----------------	---------------------------------	------------------------	-----------------------------	--------------------------	-----------------------------------	-----------------------------	--------------------------------

900 - Parks & Recreation								
3090	Restricted Pool Funds	\$303,838	5	\$0	\$918	\$304,756	\$0	\$0
					\$3,946,270			\$4,239,258

- 1 **Managed by US Bank - ongoing analysis**
- 2 **Internal Loan from Water Reserves**
- 3 **CD Matures 03/18/2015**
- 4 **Managed by Community Foundation**
- 5 **Beginning Balance adjusted based on LAIF deposit**
- 6 **Authorized use: \$32,803; interest: \$2,325**
- 7 **Balance Bankruptcy franchise fee transfer**

Cost Allocation Plan for FY 2015/2016

BASED ON ADMINISTRATION STAFF'S TIME:	Vista de							TOTALS	
	Fire	Water	Solid Waste	Oro	Bayridge	Drainage	Wastewater		
General Manager	22.56%	61.20%	0.37%	2.34%	3.72%	8.65%	1.15%	100.00%	
Administrative Sec./Acctg Asst. III	18.95%	73.76%	0.14%	0.72%	1.01%	4.04%	1.38%	100.00%	
District Accountant	15.58%	70.15%	0.32%	2.02%	2.37%	7.50%	2.07%	100.00%	
Total Time	57.08%	205.11%	0.84%	5.07%	7.10%	20.19%	4.61%	300.00%	
Average % Time Spent by Fund	19.03%	68.37%	0.28%	1.69%	2.37%	6.73%	1.54%	100.00%	
Projected Expenditures FY 2015-2016	\$579,701.00								
TOTAL AMOUNT TO BE ALLOCATED	\$579,701.00	110,306.17	396,344.17	1,616.41	9,798.80	13,711.63	39,016.84	8,906.99	579,701.00
		110,306	396,344	1,616	9,799	13,712	39,017	8,907	579,701

Cost Allocation Plan for FY 2014/2015

BASED ON ADMINISTRATION STAFF'S TIME:	Vista de							TOTALS		
	Fire	Water	Solid Waste	Oro	Bayridge	Drainage	Wastewater			
General Manager	22.27%	57.11%	6.20%	2.60%	2.59%	7.50%	1.73%	100.00%		
Administrative Sec./Acctg Asst. III	15.00%	68.85%	5.77%	0.00%	0.00%	4.04%	6.34%	100.00%		
District Accountant	15.00%	57.69%	10.38%	0.00%	0.00%	5.77%	11.16%	100.00%		
Total Time	52.27%	183.65%	22.35%	2.60%	2.59%	17.31%	19.23%	300.00%		
Average % Time Spent by Fund	17.42%	61.22%	7.45%	0.87%	0.86%	5.77%	6.41%	100.00%		
Projected Expenditures FY 2014-2015		\$626,310.00								
TOTAL AMOUNT TO BE ALLOCATED		\$626,310.00	109,124.08	383,406.11	46,660.10	5,428.02	5,407.14	36,138.09	40,146.47	626,310.00
			109,124	383,406	46,660	5,428	5,407	36,138	40,146	

ITEM 6

**UPDATE OF AD HOC COMMITTEE
GRANT OPPORTUNITIES**

TO: Rob Miller and Lou Tornatzky

FROM: Lou Tornatzky

SUBJECT: First Pass Ratings of Funding Prospects

DATE: 3-11-2015

As per my email of last week I have tried to rate/rank the 17 funding prospects derived from the Catalog of Federal Domestic Assistance (CFDA). I have also attached as Appendix A the qualitative Factors I looked at to make my judgments. In what follows, I have grouped them accordingly and summarized what attracted me or turned me off.

“A” or “B” Programs We Should Follow Up On

Name: Title XVI Water Reclamation and Reuse Program

Agency & Office: Department of the Interior; Bureau of Reclamation

CFDA Number: 15.504

As the name implies water reclaiming and reuse is what they are all about. Geographically it focuses on the 17 Western states and Hawaii. The focus is municipal, industrial, domestic, ag wastewater, ground or surface. Funds are partial (25-50%, less for construction), and recipients must come up with matching. Applicants can include states as well regional or local authorities. Project must be authorized under Title XVI (we need to do our homework). Projects are to be 12-24 months in duration, depending on nature of work. They have funded big projects, but data on the CFDA site is not complete. In California the Leo J. Vander Lans facility received \$1.7 million, and the San Jose Area Water Reclamation and Reuse Program received \$4 million. Priority is on projects that “reduce existing water diversion or address specific water supply issues... address environmental and water quality concerns, are economically justified...”

** *

Name: WaterSMART (Sustaining and Manage America's Resources for Tomorrow)

Agency & Office: Department of the Interior; Bureau of Reclamation

CFDA Number: 15.507

Funded projects are to “conserve water and use waster more efficiently, increase the use of renewable energy and improve energy efficiency.....” Applicants can range from a state, nonprofit research institution, or other organization with water or power delivery authority.” The applicant will cost-share project expenditures at a rate of at least 50%. It is not clear if these are “hard or soft” matches. Projects are generally to be completed in two years. Examples of past project are cited in the CFDA, with annual awards totaling upwards of \$20M.

** *

Name: National Estuary (e.g., Morro Bay)

Agency & Office: EPA, Office of Water

CFDA Number: 66.456

Each of the National Estuaries executes a unique Comprehensive Conversation and Management Plan that can focus a range of issues: restoring habitat and wetlands; water quality protection and restoration; assessing pathogen; reducing non-point source pollution; implementing nutrient reduction; and a range of other issues, many of which may be linked to poorly functioning water and waste water programs and nutrient over-enrichment. The national Estuary program provides substantive and monetary assistance (grants) to estuaries designated by the Administrator. It is not clear the extent to which there have been efforts to forge substantive partnerships between the Estuary and the LOCSO, and seek out National Estuary program funding that would pursue complimentary technical goals. There are ongoing interactions between the two organizations, as well as the County. This potential opportunity should be pursued.

** *

Name: Water Conservation Field Services Program (WCFSP)

Agency & Office: Department of the Interior, Bureau of Reclamation

CFDA Number: 15.530

This modestly funded program (\$1.7 M in FY13, \$4.4 M in FY 15) supports modestly sized projects, funded at 50% of total cost that are focused on reducing water usage by individuals, companies or other entities. Water savings can be addressed behaviorally or via demonstration of new technologies. For example, Long Beach City spent \$75K to support high-efficiency clothes washers in Laundromats or multi-unit dwellings. This is most likely a venue to get money to increase the level of usage of water-saving technologies that we are already aware of. This could expand what the CSD is already doing in the area of toilet flushing, etc. The program announcement seems to favor low cost technologies, easy to implement solutions, and attention to social and cultural ingredients of use. A quick probe via phone might be worthwhile. Nonetheless, much of the work of the Bureau of Reclamation is focused on large projects on Federal and associated with large dam operations, and we are not.

** *

“B Minus or C” Programs With Some Shortcomings

Name: Construction Grants for Wastewater Treatment Works

Agency & Office: EPA, Office of Water

CFDA Number: 66.418

This program seems to have been around since the 80s, and still seems to be supporting projects of municipal wastewater treatment. The match is about 50%, although the website seems a little dated. The real shortcoming is that we have already secured the funding for the treatment plant; the design has survived bouts of design battles, and the years of bankruptcy etc. The program claims for “innovative or alternative treatment processes” the fraction of support will be enhanced.

I think there is one important potential strategy that we might pursue. And for this the “we” would be the CSD AND the County, working in concert. What I am alluding to would be the vision of powering the treatment facility with solar technology, and significantly cut costs via an EPA program grant. Worth a discussion.

**** ***

Name: Emergency Community Water Assistance Grants

Agency & Office: Department of Agriculture, Rural Utilities Service

CFDA Number: 10.763

This program has many similarities to 10.770 (below), particularly in the qualifying demographics, but this one transacts its mission via grants rather than loans. In addition, there is a significant emphasis on situations in which there has been a significant decline in water quality and service that occurred over a relatively short period of time. It too is focused on rural communities in terms of population limits. The amount of grants is relatively modest (average = \$261K) which makes it less attractive for many of our Basin Plan aspirations. Nonetheless, this might be explored for a quick turnaround input of cash resources for a narrowly defined need. The population thing will have to be finessed.

Programs with Fatal Flaws PROBABLY ELIMINATE

Name: Water and Waste Disposal Systems for Rural Communities

Agency & Office: Department of Agriculture, Rural Utilities Service

CFDA Number: 10.760

This is a loan program designed for truly rural communities in which the applicant must “be unable to finance the proposed project from its own resources or through commercial credit at reasonable rates or terms” but which “have the legal authority necessary for constructing the proposed facility or service....”. This is not a program that is appropriate for the current situation of the CSD, and it should not be pursued given where we are in

terms of financial and programmatic needs.

** *

Name: Water and Waste Disposal Loans and Grants (Section 306C)

Agency & Office: Department of Agriculture, Office of Rural Utilities

CFDA Number: 10.770

This is a program that provides 100 percent of costs to provide water and wastewater facilities and services to very low-income rural communities. For example, the per capita income of residents is not more than 70% of national norms, and the unemployment rate is not less than 125% of national averages. The program structure appears totally inappropriate for the LOCSD and the demographics of Los Osos and SLO County.

** *

Name: Household Water Well System Well System Grant Program

Agency & Office: Department of Agriculture, Rural Utilities Service

CFDA Number: 10.862

This program is not for Los Osos. Assistance is “directed toward truly rural areas and rural communities” and where household water systems consist of on-site wells. This is a “fund of funds.” Grant funds are not directed toward households, but rather to establish revolving loan funds, operated by non-profit private organizations, that will enable loans to those same households that are the beneficiaries. The level of Federal funding FY 13 through FY 15 hovers at \$1 million annually. Eliminate this program from consideration. (Note: This program is linked to 10.864 below).

Name: Grant Program to Establish

Agency & Office: Department of Agriculture, Rural Utilities Service

CFDA Number: 10.862

This program is also not for Los Osos. Assistance is focused on rural areas and rural communities, but in this version a revolving load fund will be established which will “finance pre-development or small capital water or waste water disposal projects.” Grant funds are not directed toward households, but rather to establish a revolving loan fund to make small, short-term loans for pre-development. Again, the level of Federal funding FY 13 through FY 15 hovers at \$1 million annually. Again, the scope and setting of the LOCSD project is a mismatch for this program as well. (Note: This program is linked to 10.862 above).

Name: Water Desalination Research and Development

Agency & Office: Department of the Interior, Bureau of Reclamation

CFDA Number: 15:506

The program proposes to advance the state of the art and practice of water desalination. As such, it is a research program that has aspirations and lofty goals that have been addressed for years, but mostly with limited success. This program will continue that record, because of several shortcomings. For one, this is a "come one/come all" RFP. Proposals are open to individuals, tribal governments, small businesses and everything else in between. This really should be focused on those organizations that are on the cutting edge of this area of science. Second, the program "solicitation is for

projects of 12 months duration or less." Important science is rarely done on this kind of a schedule. Third, the program announcement is silent about issues of intellectual property and how these issues will be addressed vis-à-vis the Federal agency and the performing entity. Fourth, the RFP cost sharing is neither generous nor reasonable. Fifth, the size of past grants is very modest in an area that has been very resistant to groundbreaking solutions. Given the historic mission of the Bureau of Reclamation, co-located development in areas addressed by very large reclamation projects, it is a stretch to see how they could help us.

This is not a program that we should pursue.

Name: Reclamation States Emergency Drought Relief

Agency & Office: Department of the Interior, Bureau of Reclamation

CFDA Number: 15.514

In terms of what it does, the drought relief and drought contingency planning that this agency does sound dead on. The problem is that the activities of the Bureau of Reclamation are joined at the hip to the reclamation "projects" that it has been building and managing since the early in the 20th century. Virtually all of these involve a dam, federal land, and various irrigation and development activities. Hoover Dam was an early notable, but Reclamation operates 180 projects in 17 western states. These include the Central Valley Project, the Klamath Project, the Rio Grande project, etc. So, while it might be nice and interesting for the Bureau of Reclamation to throw up a dam just east of St. Benedict's and gradually build a lake suitable for bass fishing and water sports, it is not likely. Seriously, it might be interesting and informative to talk to some people at the agency and talk about our aspirations to move our wells east. They do drill a lot of wells in the Reclamation service territory.

** *

Name: SECURE Water Act – Research Agreements

Agency & Office: Department of the Interior, Bureau of Reclamation

CFDA Number: 15.560

This is a very small (in dollars spent) sponsored research program that focuses on topics that are of interest to the Bureau, but which are unlikely to advance the task of advancing the agenda of the Basin Plan. If we could think of a small research project that would be of interest to the Bureau and could enable our agenda, it might be worth a few phone calls.

** *

Name: Coastal Program

Agency & Office: Department of the Interior, Fish and Wildlife Service

CFDA Number: 15.630

This is a very worthwhile program, but has very little to do with the nearer term goals of the Basin Plan and related activities. It focuses on restoring and protecting fish and wildlife habitats in coastal areas. The Central California Coast is one of the program's priority areas, but the agenda would be focused on enabling the survival and enhancement of animals and fish that are native and may be undergoing environmental hard times. Illustrative of funded projects: (1) eradication of fire ants that threaten seabirds on Johnston Atoll in the Central Pacific; (2) restoration of tidal marsh near Indian Point in Nueces County, Texas; (3) South San Diego Bay restoration. We need to connect with this program in 15 years, after we have restored as much as feasible via an enhanced water and wastewater function serving Los Osos.

Name: Targeted Watershed Grants

Agency & Office: Environmental Protection Agency, Office of Water

CFDA Number: 66.439

The program has no apparent link to community-based water and wastewater treatment programs or activities. It posits a more general mission "to support innovative, community-based watershed approaches aimed at preventing, reducing, or eliminating water pollution." It allegedly provides grants or cooperative agreement to watershed organizations from public, private and non-profit sectors. However, there have been no apparent expenditures in FY13, FY14, or FY15. Eliminate this program from consideration.

Name: Community Services Block Grant Discretionary Awards

Agency & Office: Department of Health and Human Services; Administration for Children and Families

CFDA Number: 93.570

This program is concerned with alleviating the causes of poverty and social system breakdown in communities, rural and urban. Shortcomings in water and waste water treatment systems are posed a key ingredient in poverty and social system breakdown, but the programs in this are are very poorly described, and the posed solution is better training for individual responsible in these functions. This is not really a technical program, and the level of funding has been meager. This should be ditched as a target for our efforts.

Name: State Underground Water Source Protection

Agency & Office: Environmental Protection Agency, Office of Water

CFDA Number: 66.433

Underground injection wells have been used for a variety of purposes since the 1930s. Since then, the scope and variety of injection well project has increased severalfold. One manifestation of this situation is that groundwater, often an important source of drinking water, may be compromised. This EDA grant program is to advance knowledge and practice "to ensure that underground sources of drinking water are protected from endangering injection activities."

This grant program seems to be active and generously funded. But it is not obvious how getting involved in the program will advance of the goals of the LOCSD and/or our Basin Plan partners. Way off targets. Ignore.

Appendix A - Rating Factors

- **Is focus of program on implementation (doing) rather than research/science?**
- **Is program oriented on community water, and topics that relate to that?**
- **Is substance of program focused on high priority tasks of Basin Plan?**
- **Is their current money available, and lots of it?**
- **Is program focused on a community like Los Osos, or some other unit of intervention?**
- **Is program clearly focused or flaky?**
- **Do likely partnerships of LOCSD fit with program?**
- **What letter grade would we give it?**
- **Would are partners with the County like it?**
- **Will program energize the nut jobs in opposition?**
- **Are there unusual or stringent “must haves” that restrict our applying?**

Abbott Fund

- Profile
- Search Grants
- Map Grants
- Chart Grants
- Company

Profile

Last Updated: 2014-10-30

Compact View

Jump To

- Background
- Limitations
- Purpose and Activities
- Program Area(s)
- Fields of Interest
- Geographic Focus
- Types of Support
- Application Information
- Donor(s)
- Officers and Directors
- Memberships
- Financial Data
- Additional Location Information
- Selected Grants

At A Glance

Abbott Fund
 (formerly Abbott Laboratories Fund)
 100 Abbott Park Rd., D379/AP6D
 Abbott Park, IL United States 60064-3500
 Telephone: (847) 937-7075
 URL: www.abbottfund.org

Type of Grantmaker
 Company-sponsored foundation

Financial Data
 (yr. ended 2013-12-31)
 Assets: \$195,272,643
 Total giving: \$14,831,609

EIN
 366069793

990
 2013 2012 2011 2010 2009 2008 2007 2006 2005 2004 2003 2002
 2001
 Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

- E-mail Record
- Print/Save Record
- Add to Workspace (What is this?)
- Add to My Alerts

Record Tags

add a tag

Background

Top of Page

Incorporated in 1951 in IL.

Limitations

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Giving on a national and international basis in areas of company operations, with emphasis on AR, CA, CT, Washington, DC, IL, IN, MA, NH, NY, OH, OR, PR, TX, VA, Afghanistan, Africa, Brazil, Haiti, India, Kenya, and Tanzania; giving also to national and international organizations.

No support for social organizations, political parties or candidates, sectarian religious organizations, or trade or business associations.

No grants to individuals, or for scholarships, advertising journals or booklets, capital campaigns, congresses, symposiums, or meetings, medical research that supports Abbott products, political activities, fundraising events, ticket purchases, sporting events, travel, trips, tours, or cultural exchange

programs; no employee volunteer services.

Purpose and Activities

[Top of Page](#)

The fund supports organizations involved with arts and culture, education, water conservation, health, HIV/AIDS, diabetes, tropical diseases, hunger, nutrition, disaster relief, human services, community development, science, children, minorities, women, and economically disadvantaged people. Special emphasis is directed toward programs designed to promote science and medical innovation; expand access to healthcare; and strengthen communities around the globe.

Program Area(s)

[Top of Page](#)

The grantmaker has identified the following area(s) of interest:

Abbott Communities

The fund supports programs designed to address unmet needs of the community. The fund supports institutions that serve the public good; health and human service welfare agencies including food banks, homeless shelters, health clinics, museums, libraries, and universities; and humanitarian relief organizations during natural disasters and emergencies.

Access to Health Care

The fund supports programs designed to improve and expand access to health care services for disadvantaged populations through training of medical professionals, building facilities in underserved areas, and engaging in locally appropriate initiatives.

Community Scholarship Program

The fund awards up to 15 \$2,000 scholarships to high seniors who plan to pursue a science-related major in college or at a vocational technical school. The program is limited to select high schools in Arizona, California, Illinois, Michigan, Ohio, Puerto Rico, and Virginia. The program is administered by Scholarship America.

Employee Giving Campaign

The fund matches contributions made by employees of Abbot in the United States and Puerto Rico to the United Way and eligible nonprofit organizations.

Employee Matching Grant Plan

The fund matches contributions made by employees of Abbott Laboratories to educational institutions, public broadcasting stations, hospitals, and eligible funds and foundations on a one-for-one basis from \$25 to \$5,000 per contributor, per year.

Science Education

The fund supports programs designed to promote science education and encourage people to enter science-related fields. Special emphasis is directed toward programs designed to engage and inspire students, families, and teachers to explore science in out-of-school settings; encourage young people to become more proficient in science and attract more scientists to the field; and build strong partnerships that are systemic, replicable, and sustainable for multiple years and locations.

Fields of Interest

[Top of Page](#)

Subjects

AIDS
American Red Cross
Arts
Community/economic development

Alcoa Foundation

- Profile
- Search Grants
- Map Grants
- Chart Grants
- News
- Social Media
- Publications
- Company

Profile

Last Updated: 2014-03-13

Compact View

Jump To

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- Geographic Focus
- Types of Support
- Publications
- Application Information
- Donor(s)
- Officers and Directors
- Corporate Trustee
- Staff
- Memberships
- Financial Data
- Online Communications
- Additional Location Information
- Selected Grants

At A Glance



Alcoa Foundation

Alcoa Corporate Ctr., 201 Isabella St.
 Pittsburgh, PA United States 15212-5858
 URL: www.alcoa.com/global/en/community/foundation...

Type of Grantmaker

Company-sponsored foundation

Financial Data

(yr. ended 2012-12-31)
 Assets: \$460,142,329
 Total giving: \$21,517,932

EIN

251128857

990

2013 2012 2011 2010 2009 2008 2007 2006 2005 2004 2003 2002 2001
 Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

- E-mail Record
- Print/Save Record
- Add to Workspace (What is this?)
- Add to My Alerts

Record Tags

add a tag

Background

Top of Page

Trust established in 1952 in PA; incorporated in 1964.

Limitations

Top of Page

Giving on a national and international basis in areas of company operations, with emphasis on New York, NY, Pittsburgh, PA, Africa, Asia, Australia, Brazil, Canada, Caribbean, China, Central America, Europe, Mexico, Russia, and South America.

No support for political or lobbying organizations, sectarian or religious organizations not of direct benefit to the entire community, discriminatory organizations, social clubs or organizations, sports teams, private foundations, or trust funds.

No grants to individuals (except for employee-related scholarships), or for endowments, capital campaigns, debt reduction, operating costs or reserves, indirect or overhead costs, fundraising events or sponsorships including walks/runs, golf tournaments, tickets, tables, benefits, raffles, souvenir programs, advertising, or fundraising dinners, trips, conferences, seminars, festivals, one-day events, documentaries, videos, or research projects/programs.

Purpose and Activities

[Top of Page](#)

The foundation supports programs designed to engage people to improve the environment, educate tomorrow's leaders, and enhance communities where Alcoa operates around the world.

Program Area(s)

[Top of Page](#)

The grantmaker has identified the following area(s) of interest:

Advancing Sustainability Research Initiative

The foundation, in partnership with NGO's and select universities, supports global sustainability research projects to find answers to environmental issues that impact the quality of life and well-being of communities globally. Special emphasis is directed toward research focusing on energy and environmental economics; materials science and engineering; natural resource management; and sustainable design. Visit URL <http://www.iie.org/Programs/Alcoa-Foundation-Advancing-Sustainability-Research> for more information.

Alcoa Sons and Daughters Scholarship Program

The foundation awards \$1,500 college scholarships to children of U.S. employees of Alcoa to pursue two or four-year degree. The program is administered by International Scholarship and Tuition Services.

Alcoans Coming Together In Our Neighborhoods (ACTION)

The foundation awards grants to nonprofit organizations with which teams of Alcoa employees volunteer at least 4 hours on a community service project. Teams of four employees are eligible for \$1,500 and teams of eight employees are eligible for \$3,000.

Alcoans in Motion

The foundation awards \$2,500 to nonprofit organizations with which teams of Alcoa employees engage in physical activities like marathons, bike races, and athletic tournaments.

Bravo!

The foundation awards \$250 grants to nonprofit organizations and non-governmental organizations with which employees of Alcoa volunteer at least 50 hours.

Education

The foundation supports programs designed to promote educational endeavors where Alcoa can offer expertise and make a difference. Special emphasis is directed toward STEM, including innovative education, training programs, and teaching curriculums in the areas of science, technology, engineering, and math; safety, including encouraging college students and young professionals to pursue careers in environment, health, and safety (EHS); workforce development, including education and training opportunities in engineering and manufacturing to promote self-sufficiency; general education, including support for general academic achievement programs and teaching curriculums; and general job readiness, including programs that provide individuals with basic job readiness skills.

Employee Matching Gifts

The foundation matches contributions made by full-time employees, directors, and retirees of Alcoa to institutions of higher education on a one-for-one basis from \$100 to \$2,500 per contributor, per year.

Environment

Anheuser-Busch Foundation

- Profile
- Search Grants
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- News
- Company

Profile

Last Updated: 2014-11-06

Compact View

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- Geographic Focus
- Types of Support
- Application Information
- Donor(s)
- Trustees
- Financial Data
- Additional Location Information
- Selected Grants

At A Glance

Anheuser-Busch Foundation

1 Busch Pl.
 St. Louis, MO United States 63118-1849
 Telephone: (314) 577-2000
 URL: anheuser-busch.com/index.php/our-responsibili...

Type of Grantmaker

Company-sponsored foundation

Financial Data

(yr. ended 2013-12-31)
 Assets: \$42,623,837
 Total giving: \$9,943,682

EIN

510168084

990

2013 2012 2011 2010 2008 2007 2006 2005 2004 2003 2002 2001
 Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

- E-mail Record
- Print/Save Record
- Add to Workspace (What is this?)
- Add to My Alerts

Record Tags

add a tag

Background

Top of Page

Established in 1975 in MO.

Limitations

Top of Page

Giving primarily in areas of company operations, with emphasis on Fairfield, Los Angeles, and San Diego, CA, Fort Collins, CO, Jacksonville, Orlando, and Tampa, FL, Cartersville, GA, St. Louis, MO, Merrimack, NH, Newark, NJ, Baldwinsville, NY, Columbus, OH, Houston and San Antonio, TX, and Williamsburg, VA.

No support for discriminatory, political, fraternal, social, or religious organizations, legislators, athletic organizations or teams, charter schools, pre-schools, elementary, middle, or high schools, or hospitals or healthcare-related organizations.

No grants to individuals, or for political campaigns, annual or capital campaigns, conferences or seminars, travel or organized field trips, family reunions, general operating support for United Way agencies, or endowments; no multi-year commitments.

Purpose and Activities

Top of Page

The foundation supports programs designed to promote disaster relief and preparedness; increase access to and completion of higher education; raise consciousness and action for water conservation and recycling; and increase the quality of life through homeownership and entrepreneurship.

Program Area(s)

Top of Page

The grantmaker has identified the following area(s) of interest:

Employee Matching Gifts

The foundation matches contributions made by employees, directors, and retirees of Anheuser-Busch to educational institutions on a one-for-one basis from \$25 to \$5,000 per contributor, per year.

Employee Volunteer Grants

The foundation awards grants to nonprofit organizations with which employees of Anheuser-Busch volunteer.

Fields of Interest

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Subjects

American Red Cross
Community/economic development
Disasters, preparedness/services
Economic development
Education
Environment, natural resources
Environment, recycling
Environment, water resources
Environmental education
Higher education
Housing/shelter
Housing/shelter, development
Human services
Military/veterans' organizations
Scholarships/financial aid
United Ways and Federated Giving Programs

Population Groups

African Americans/Blacks
Economically disadvantaged
Hispanics/Latinos
Minorities

Geographic Focus

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California
Colorado
Florida
Georgia
Missouri

The Keith Campbell Foundation for the Environment, Inc.

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Profile

Last Updated: 2015-03-04

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- Selected Grants

At A Glance

The Keith Campbell Foundation for the Environment, Inc.

1450 Sutter St., Ste. 510
 San Francisco, CA United States 94109-5418
 Telephone: (415) 722-4739
 Contact: Pacific Region Proposals: Anna Lindgren, Asst. to the Pres.; Chesapeake and Atlantic Proposals: Rebecca Bednarek, Grants Asst.
 E-mail: pacificadmin@campbellfoundation.org
 URL: www.campbellfoundation.org

Type of Grantmaker

Independent foundation

Additional Descriptor

Celebrity: Business
 Family foundation

Financial Data

(yr. ended 2013-12-31)
 Assets: \$160,463,142
 Total giving: \$11,104,347

EIN

522136842

990

2013 2012 2011 2010 2009 2008 2007 2006 2005 2004 2003 2002 2001

Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

- E-mail Record
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- Add to Workspace (What is this?)
- Add to My Alerts

Record Tags

add a tag

Additional Contact Information

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Chesapeake Office: 410 Severn Ave., Ste. 210, Annapolis MD 21403, tel: (410) 990-0900, fax: (410) 990-0988; E-mail for Chesapeake and Atlantic Coastal Bay proposals: Rebecca Bednarek (rebednarek@campbellfoundation.org); E-mail for Pacific Region proposals: Anna Lindgren (alindgren@campbellfoundation.org)

Background

Top of Page

Established in 1998 in MD.

Founded by Keith Campbell, founder of investment firm Campbell & Co.

Limitations

[Top of Page](#)

Giving primarily in the Chesapeake Bay area, (MD and VA), the San Francisco Bay Area, CA, OR, and HI.

No support generally for on-the-ground restoration or classroom environmental education.

No grants to individuals.

Purpose and Activities

[Top of Page](#)

The foundation promotes policy, advocacy, and enforcement to improve water quality, restore ecological balance, and foster an engaged citizenry within the watersheds of the Chesapeake Bay, Atlantic Coastal Bays and the Pacific Coast region.

Program Area(s)

[Top of Page](#)

The grantmaker has identified the following area(s) of interest:

Chesapeake Program

The program supports the implementation of policy initiatives and standards that best accelerate the pace of nutrient and sediment reduction in the Bay. It also seeks to improve the Bay's filtering capacity by supporting the protection of oysters, menhaden and their habitats.

Healthy Ocean Ecosystems

The program strives to identify opportunities in the field that: a) are gaining political momentum; b) present a great opportunity to leverage resources of all kinds; and c) are innovative from a business and social perspective. The foundation also allocates the resources of its Pacific Coast office to projects in these areas: 1) Sustainable Fisheries (Domestic/Western US); 2) Protection of Special Places (Domestic/Western US); 3) Land-Sea Connection/Water Quality (Northern California); and 4) Communication of Ocean Conservation Issues. The foundation is also increasingly concerned with models that predict the serious impact climate change will have on water resources in the western United States. It allocates a portion of its resources to bring together willing participants from competing interests to push for management changes that address these models.

Fields of Interest

[Top of Page](#)

Subjects

Animals/wildlife, fisheries

Animals/wildlife, sanctuaries

Environment

Environment, administration/regulation

Environment, alliance/advocacy

Environment, formal/general education

Environment, pollution control

Environment, public education

Environment, public policy

Environment, research

Environment, single organization support

Environment, toxics

The Coca-Cola Foundation, Inc.

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Profile

Last Updated: 2014-03-13

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- Selected Grants

At A Glance



The Coca-Cola Foundation, Inc.

1 Coca-Cola Plaza, N.W.
 Atlanta, GA United States 30313-2420
 Telephone: (404) 676-2568
 Contact: Helen Smith Price, Exec. Dir.
 Fax: (404) 676-8804
 E-mail: cocacolacommunityrequest@coca-cola.com
 URL: www.thecoca-colacompany.com/citizenship/found...

Type of Grantmaker

Company-sponsored foundation

Financial Data

(yr. ended 2012-12-31)
 Assets: \$191,508,505
 Total giving: \$69,658,157

EIN

581574705

990

2013 2012 2011 2010 2009 2008 2007 2006 2005 2004 2003 2002 2001
 Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

- E-mail Record
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- Add to Workspace (What is this?)
- Add to My Alerts

Record Tags

add a tag

Additional Contact Information

Top of Page

Additional tel.: (404) 676-3525

Background

Top of Page

Incorporated in 1984 in GA.

Limitations

Top of Page

Giving on a national and international basis in areas of company operations, with emphasis on CA, Washington, DC, Atlanta, GA, New York, NY, TX, VA, Africa, Australia, Chile, China, Colombia, Europe, Italy, Japan, Latin America, Philippines, and Russia.

No support for discriminatory organizations, political, legislative, or lobbying organizations, fraternal organizations, athletic teams, or U.S. based local schools, including charter schools, pre-schools, elementary schools, middle schools, or high schools.

No grants to individuals (except for the Coca-Cola First Generation Scholarship), or for movie, film, or television documentaries, website development, concerts or other entertainment events, beauty contests, fashion shows, or hair shows, local sports, travel or organized field trips, family reunions, marketing sponsorships, cause marketing, or advertising projects, land, building, or equipment, or construction or renovation projects.

Purpose and Activities

[Top of Page](#)

The foundation supports programs designed to promote water stewardship; healthy and active lifestyles; community recycling, and education.

Program Area(s)

[Top of Page](#)

The grantmaker has identified the following area(s) of interest:

Coca-Cola First Generation Scholarship

The foundation supports students who are the first in their immediate family to attend college or university through scholarships. The program is administered by participating schools.

Community Recycling

The foundation supports programs designed to increase litter abatement efforts; advance recovery and reuse; increase community recycling awareness; and support research and innovation.

Education

The foundation awards scholarships and supports programs designed to promote school drop-out prevention; access to education; and other educational initiatives per local business unit priorities.

Healthy and Active Lifestyles

The foundation supports programs designed to promote access to exercise, physical activity, and nutritional education; motivate behavior modification; and programs designed to encourage lifestyle/behavioral changes.

Matching Gift Program

The foundation matches contributions made by employees of Coca-Cola to nonprofit organizations on a two-for-one basis.

Water Stewardship

The foundation supports programs designed to promote access to clean water and sanitation; watershed protection in water-stressed regions; utilization of water for production and/or multiple use systems that do more than provide clean water; and education and awareness programs designed to promote water conservation within communities and industry.

Fields of Interest

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Subjects

AIDS
Big Brothers/Big Sisters

The Conservation Fund

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Last Updated: 2013-01-09

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- Additional Location Information

At A Glance

The Conservation Fund

1655 N. Fort Myer Dr., Ste. 1300
Arlington, VA United States 22209-3199
Telephone: (703) 525-6300
Contact: Jennifer Hauck, Sr. Admin. Asst.
Fax: (703) 525-4610
E-mail: postmaster@conservationfund.org
URL: www.conservationfund.org

Type of Grantmaker

Public charity

Additional Descriptor

Organization that normally receives a substantial part of its support from a governmental unit or from the general public

Financial Data

(yr. ended 2012-12-31)
Assets: \$537,183,832
Total giving: \$3,795,798



EIN

521388917

990

2013 2012 2011 2010 2009 2008 2007 2006 2005 2004 2003 2002
Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

-  E-mail Record
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Background

Top of Page

Established in 1985 in MD.

Limitations

Top of Page

Giving on a national basis.

Purpose and Activities

Top of Page

The fund seeks to protect America's legacy of land and water resources through partnerships by land acquisition, sustainable programs, and leadership training; and to demonstrate effective conservation solutions emphasizing the integration of economic and environmental goals.

Program Area(s)

[Top of Page](#)

The grantmaker has identified the following area(s) of interest:

Kodak American Greenways Awards Program

The program, a partnership with Eastman Kodak Company and the National Geographic Society, provides small grants to stimulate the planning and design of greenways in communities throughout America. Grant recipients are selected according to the following criteria: the importance of the project to local greenway development efforts; demonstrated community support for the project; the extent to which the grant will result in matching funds or other support from public or private sources; the likelihood of tangible results; and the capacity of the organization to complete the project. Awards will primarily go to local, regional, or statewide nonprofit organizations. Although public agencies may also apply, community organizations will receive preference. The maximum grant is \$2,500; most grants will range between \$500 and \$1,500 each.

National Forum on Children and Nature

This program will identify 20 nationally-significant demonstration projects in four key areas of health, education, the built environment, and media/culture that, individually and collectively, will provide substantial steps toward improved children's health and stewardship and models for future action, with the ultimate goal of establishing links between children and their natural environments. Organizations chosen for funding will receive national recognition, as well as marketing and fundraising support for their projects.

REX East Migratory Bird Account

Established as part of a memorandum of understanding with the U.S. Fish and Wildlife Service, this program works to conserve and restore migratory bird habitats in Indiana, Missouri, and Ohio. Priority will be given to projects that focus on conserving and/or restoring north/south riparian corridors; second priority is given to conserving and/or restoring upland forest habitat that benefits migratory birds. Funds may only be used to cover the direct cost of land and/or forest habitat restoration; all projects must have at least a 1:1 match in grant funding.

Saginaw Bay Watershed Initiative Network Grants Program

In partnership with the Dow Chemical Company and The Herbert H. and Grace A. Dow Foundation, nearly \$2 million in grants is available to innovative projects that benefit the Saginaw Bay region's economy, environment, and communities. Grants support work to steward natural resources, strengthen local economies, and nurture agriculture and nature-based tourism on the region.

Fields of Interest

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Subjects

Business/industry
Environment
Environment, formal/general education
Environment, natural resources

Geographic Focus

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National

Avery Dennison Foundation

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Last Updated: 2015-01-14

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At A Glance

Avery Dennison Foundation
 (formerly Avery International Foundation)
 150 N. Orange Grove Blvd.
 Pasadena, CA United States 91103-3534
 Telephone: (626) 304-2000
 Contact: Alicia Procello Maddox, Pres. and Exec. Dir.
 E-mail: AveryDennison.Foundation@averydennison.com
 URL: www.averydennison.com/avy/en_us/Sustainabilit...

Type of Grantmaker
 Company-sponsored foundation

Financial Data
 (yr. ended 2013-12-31)
 Assets: \$26,697,523
 Total giving: \$849,464

EIN
 953251844

990
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 2001
 Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

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add a tag

Background

Top of Page

Established in 1977.

Limitations

Top of Page

Giving primarily in areas of company operations, with emphasis on CA, Brazil, China, and India.

No support for discriminatory organizations, for-profit organizations or ventures, government agencies, service clubs or veterans' or fraternal organizations, churches or religious organizations, private foundations, political organizations or candidates, or United Way-supported organizations (over 30 percent of budget)

No grants to individuals, or for conferences, fundraisers, or special events, sponsorships, institutional endowments, beauty or talent contests, political activities, or general operating support for hospitals.

Purpose and Activities

[Top of Page](#)

The foundation supports programs designed to promote education and environmental sustainability.

Program Area(s)

[Top of Page](#)

The grantmaker has identified the following area(s) of interest:

Avery Dennison Granting Wishes

The foundation awards \$1,000 to nonprofit and non-governmental organizations (NGOs) identified by employees in each facility in the United States and Europe. The program is designed to encourage employee engagement.

Education

The foundation supports programs designed to provide direct services to traditionally underserved populations based on gender, socioeconomic status, geography, or community need; provide educational improvements in elementary, secondary, post-secondary, or vocational schools; and promote science, math, technology, and the graphic and visual arts.

Environment

The foundation supports programs designed to improve the physical environment; promote community-based implementation with resident and youth engagement; and promote responsible care of the environment and knowledge of environmental issues relevant to the manufacturing industry, including energy, water conservation, waste reduction, recycling, responsible forestry, sustainable packaging, product safety, and resource conservation.

Global Grantmaking Initiative

The foundation, in collaboration with local Avery Dennison business units, awards grants to nonprofit, non-governmental organizations (NGOs), and educational institutions. Organizations must provide services to benefit the public where Avery Dennison has facilities and a significant workforce. Organizations must also incorporate Avery Dennison employees into the community programs offered.

H. Russell Smith Volunteer Award

The foundation recognizes five employees for their exemplary volunteer community service. The award includes a \$5,000 grant to the nonprofit, nongovernmental organization (NGO), or school selected by the recipients.

Matching Gifts

The foundation matches contributions made by employees of Avery Dennison to educational institutions on a one-for-one basis up to \$3,000 per employee, per year.

Fields of Interest

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Subjects

Business/industry
Community/economic development
Elementary/secondary education
Engineering/technology
Environment
Environment, energy

GE Foundation

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Last Updated: 2015-01-14

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At A Glance

GE Foundation
 (formerly GE Fund)
 3135 Easton Tpk.
 Fairfield, CT United States 06828-0001
 Telephone: (203) 373-3216
 Contact: Robert L. Corcoran, Chair.
 Fax: (203) 373-3029
 E-mail: gefoundation@ge.com
 URL: www.gefoundation.com

Type of Grantmaker
 Company-sponsored foundation

Financial Data
 (yr. ended 2013-12-31)
 Assets: \$24,057,981
 Total giving: \$124,512,065

EIN
 222621967

990
 2013 2012 2011 2010 2009 2008 2007 2006 2005 2004 2003 2002
 2002 2001

Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

- E-mail Record
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Record Tags

add a tag

Additional Contact Information

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E-mail for Corporate Citizenship Team: citizenship@ge.com; e-mail for Developing Health: developing.health@ge.com; e-mail for David Barash: David.Barash@ge.com; e-mail for Kim Hessler: kim.hessler@ge.com

Background

Top of Page

Trust established in 1952 in NY.

In 1994, the General Electric Foundation and the General Electric Foundation, Inc., a corporation established in 1985 to make grants both domestically and internationally, combined to form the GE Fund.

Limitations[Top of Page](#)

Giving on a national and international basis, with emphasis on Los Angeles, CA, Stamford, CT, Washington, DC, Chicago, IL, Atlanta, GA, Jefferson County and Louisville, KY, New Orleans, LA, Baltimore, MD, New York and Schenectady, NY, Cincinnati, OH, Erie, PA, Houston, TX, VA, Milwaukee, WI, Africa, Canada, China, Europe, India, Latin America, the Middle East, and Southeast Asia.

No support for religious or political organizations.

No grants to individuals (except for employee-related scholarships), or for capital campaigns, endowments, or other special purpose campaigns; no loans; no equipment donations.

Purpose and Activities[Top of Page](#)

The foundation supports organizations involved with education, health, disaster relief, human services, international affairs, human rights, community development, science, public policy, and leadership development.

Program Area(s)[Top of Page](#)

The grantmaker has identified the following area(s) of interest:

Developing Futures in Education

The foundation supports programs designed to improve the equity and quality of K-12 public education through collaboration and innovation to ensure that young Americans are prepared for careers in a global economy. Special emphasis is directed toward preparing students for college and careers; accelerating professional development for educators; driving student achievement in STEM (science, technology, engineering, and math); and building partnerships among business leaders and educators.

Developing Health Globally

The foundation supports programs designed to improve healthcare delivery for the world's most vulnerable populations in Africa, Latin America, and Southeast Asia. The foundation partners with ministries of health to identify public hospitals and clinics in need to provide technology, training, skills-based leadership, and GE volunteer support.

Developing Health US

The foundation, in partnerships with community health centers, GE's Affinity Networks, and GE volunteers, supports programs designed to increase access to primary care for underserved populations in targeted communities through clinical practice, capacity-building, social determinants, and infrastructure and technology.

Disaster Relief

The foundation matches GE employee and retiree gifts and awards grants to select relief organizations to help communities affected by humanitarian and natural disasters. Current partners include GE employees, International Red Cross, UNICEF, AmeriCares, and Save the Children.

Employee Matching Gift Program

The foundation matches contributions made by employees and retirees of GE to schools and nonprofit organizations on a one-for-one basis from \$25 to \$25,000 per employee, per year.

GE STAR Awards

The foundation awards college scholarships to children of employees of GE. Winners are selected based on academic record, extracurricular activities, community service, personal experiences, and goals described in a written statement. The program is administered by the Institute of International Education.

Public Policy

National Estuarine Research Reserve Association, Inc.

Profile Social Media

Profile

Last Updated: 2014-09-20

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At A Glance

National Estuarine Research Reserve Association, Inc.

(also known as NERRA)
 342 laudholm Farm Rd.
 Wells, ME United States 04090-4703
 Telephone: (202) 236-4819
 Contact: Rebecca K. Roth, Exec. Dir.
 Fax: (202) 508-3843
 E-mail: roth@nerra.org
 URL: www.nerra.org

Type of Grantmaker

Public charity

Additional Descriptor

Organization that normally receives a substantial part of its support from a governmental unit or from the general public

Financial Data

(yr. ended 2013-07-31)
 Assets: \$1,133,732
 Total giving: \$82,697

EIN

223004888

990

2013 2012 2011 2010 2009 2008 2007 2007 2006 2004 2003 2002 2002

Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

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Record Tags

add a tag

Background

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Established in 2001 in ME.

Limitations

Top of Page

Giving on a national basis.

Purpose and Activities

Top of Page

The association inspires the protection of the estuaries of the U.S. and promotes conservation-based research, education, and stewardship through the National Estuarine Research Reserve System.

Fields of Interest

Top of Page

Subjects

Environment
Environment, research
Environment, water pollution
Environment, water resources

Geographic Focus

Top of Page

National

Officers and Directors

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Note: An asterisk () following an individual's name indicates an officer who is also a trustee or director.*

David Ruple *, Pres.

Willy Reay *, V.P.

Tom Gaskill *, Secy.

Paul Dest *, Treas.

Rebecca K. Roth, Exec. Dir.

Kimberly Cole

Lee Edmiston

Phil Maier

Catherine McCall

Financial Data

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Year ended 2013-07-31

Assets: \$1,133,732 (market value)
Gifts received: \$562,337
Expenditures: \$640,959
Total giving: \$82,697
Qualifying distributions: N/A
Giving activities include:
\$82,697 for grants

Online Communications

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Facebook

Additional Location Information

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Last Updated: 2015-01-28

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At A Glance

The Oak Foundation U.S.A.

55 Vilcom Center Dr., Ste. 340
Chapel Hill, NC United States 27514-1690
E-mail: oak@oakfnd.org
URL: www.oakfnd.org

Type of Grantmaker
Independent foundation

Additional Descriptor
Family foundation

Financial Data
(yr. ended 2013-12-31)
Assets: \$73,539,978
Total giving: \$36,428,746

EIN
133321196

990
2013 2012 2011 2010 2009 2008 2007 2006 2005 2004 2003 2002
2001
Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

- E-mail Record
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Record Tags

add a tag

Additional Contact Information

Top of Page

Additional e-mail (Geneva office): info@oakfnd.ch.

Background

Top of Page

Established in 1986 in DE.

The resources of the Oak Foundation originated from an interest in the Duty Free Shoppers business which Alan M. Parker helped to build up. The foundation was reorganized in 1998 and has offices throughout the world, with the main office in Geneva, Switzerland.

Limitations

Top of Page

Giving on a national basis.

No support for religious organizations for religious purposes or for political candidates.

No grants to fundraising drives, events or amounts under \$25,000 (except in special circumstances)

Purpose and Activities

Top of Page

The foundation's giving priorities include child abuse, the environment, especially climate change and marine conservation, human rights, issues affecting women, housing and homelessness, learning differences and special interest grants.

Program Area(s)

Top of Page

The grantmaker has identified the following area(s) of interest:

Child Abuse

The foundation envisions a world where all children are protected from sexual abuse and sexual exploitation. The program works to achieve this goal by catalyzing stakeholders, including children, to improve practice, influence policy and increase funding to address the sexual abuse and sexual exploitation of children. The program supports initiatives that: directly address sexual abuse and sexual exploitation; and/or diminish other forms of abuse and violence that are related to or impact upon sexual abuse and sexual exploitation. The program funds key actors in Eastern Africa, Eastern Europe and Switzerland as well as key international civil society organizations. The program has a particular interest in promoting and supporting learning from the work of partners. This is done through the identification of learning opportunities within our existing partnerships as well as through new partnerships specifically designed to drive learning forward across the sector. Its current priority countries are: Switzerland, Bulgaria, Latvia, Moldova, Ethiopia, Uganda and Tanzania. Its current priority areas are: 1) to eliminate the sexual exploitation of children in all its forms; and 2) to make the positive engagement of men and boys a driving force in the elimination of the sexual abuse of children.

Environment

The program addresses global climate change mitigation and conservation of marine resources. The two main goals are: 1) to protect the global commons - the atmosphere and the oceans - by contributing to the development of responsible global and local governance mechanisms; and 2) to catalyze transformational changes in the way the global commons are perceived, exploited and cared for, resulting in a more socially and environmentally sustainable society. Through its grant making, the program aims to encourage the achievement of a zero-carbon global economy and the recovery of marine species and habitats. It has a special focus on climate change in Europe, North America (Canada and the US) and India. With respect to marine conservation, it focuses especially on Europe, the North Pacific, the Arctic and the Mesoamerican Reef system.

Housing and Homelessness

The program works to prevent homelessness and social and economic marginalization. It focuses on preventing homelessness through sustainable solutions that improve the economic and social well-being of the most marginalized youth, adults, and families. The program has three priorities: 1) Economic self-sufficiency to: equip homeless people and those who are at risk of homelessness, with the skills and support that will lead to their long-term economic stability; and contribute to projects that overcome barriers to employment and economic stability; 2) Increasing the supply of affordable housing and housing opportunity to: provide seed funding to facilitate affordable housing development and the preservation of existing affordable housing; and 3) Homeless prevention to: prevent homelessness among identified vulnerable groups and in regional "hot spots" from which it originates; and facilitate support and advocacy for individuals and families facing housing crisis. All three areas may include systems change objectives executed at a national or local level. These may take the form of securing mainstream statutory funding for a proven new solution, removing structural and cultural barriers which hamper individual success or changing the way a service is delivered. Systems change initiatives may be

Patagonia.org

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Last Updated: 2014-07-15

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At A Glance

Patagonia.org
 P.O. Box 24950
 Los Angeles, CA United States 90024-0950
 URL: www.patagonia.com/us/patagonia.go?assetid=292...

Type of Grantmaker
 Company-sponsored foundation

Financial Data
 (yr. ended 2013-04-30)
 Assets: \$6,023,191
 Total giving: \$5,969,904

EIN
 142004175

990
 2013 2012 2011 2010 2009 2009 2008
 Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

- E-mail Record
- Print/Save Record
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- Add to My Alerts

Record Tags

add a tag

Additional Contact Information

Top of Page

Contact for World Trout Initiative: Bill Klyn, bill_klyn@patagonia.com

Background

Top of Page

Established in 2008 in CA.

Limitations

Top of Page

Giving primarily in CA, CO, MT, NY, and WY.

No grants for general environmental education efforts, land acquisition, land trusts, or conservation easements, research (unless it's in direct support of a developed plan to alleviate an environmental problem), environmental conferences, endowments, political campaigns, or green building projects.

Purpose and Activities

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The foundation supports programs designed to preserve and promote the environment. Special emphasis is directed toward protecting and restoring native fish populations and the habitat on which they depend.

Program Area(s)

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The grantmaker has identified the following area(s) of interest:

World Trout Initiative

The foundation supports programs designed to restore and protect fish species within their native range. Special emphasis is directed toward native river, coastal, and salt water habitats restoration; in-stream flows that mimic natural stream flows; unassisted fish passages (without human intervention) to and from historically accessible habitats; long term, low maintenance, and natural channel solutions; sustainable harvest techniques and eliminating irresponsible aquaculture, and pollution; and educational and scientific efforts in alignment with the mission of the World Trout Initiative. Grants range from \$3,000 to \$15,000.

Fields of Interest

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Subjects

Animals/wildlife, fisheries
Environment
Environment, natural resources
Environment, water resources
Public affairs, citizen participation

Geographic Focus

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California
Colorado
Montana
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Wyoming

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National Fish and Wildlife Foundation

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Last Updated: 2014-03-13

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At A Glance

National Fish and Wildlife Foundation

1133 15th St., N.W., Ste. 1100
 Washington, DC United States 20005-2710
 Telephone: (202) 857-0166
 Contact: Jeff Trandahl, C.E.O. and Exec. Dir.
 Fax: (202) 857-0162
 URL: www.nfwf.org

Type of Grantmaker

Public charity

Additional Descriptor

Organization that normally receives a substantial part of its support from a governmental unit or from the general public

Financial Data

(yr. ended 2012-09-30)
 Assets: \$449,917,561
 Total giving: \$78,193,306

EIN

521384139

990

2013 2012 2011 2010 2009 2008 2007 2006 2005 2004 2003 2002
 Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

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Background

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Established in 1984 in DC.

Limitations

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Giving on a national and international basis, primarily in Latin America and Asia.

No support for political advocacy or litigation.

No grants for basic research (including graduate level), administrative overhead or multi-year funding; or to cover shortfalls in government agency budgets.

Purpose and Activities

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The foundation fosters cooperative partnerships between the public and private sectors to support conservation activities; among its goals are species habitat protection, conservation education, natural resource management, habitat and ecosystem restoration, and leadership training.

Program Area(s)

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The grantmaker has identified the following area(s) of interest:

Acres for America

In partnership with Wal-Mart Stores, Inc., the program provides funding for projects that conserve important habitat for fish, wildlife, and plants through acquisition of interest in real property. The goal of the program is to offset the footprint of Wal-Mart's domestic facilities on at least an acre by acre basis through these acquisitions. Preference will be given to acquisitions that are part of published conservation plans, state wildlife action plans, or Endangered Species Act recovery plans, all applicants must secure a 1:1 minimum cash or in-kind match to be eligible for funding.

Alaska Fish and Wildlife Fund

This program will provide up to \$100,000 per year to help further conserve the species and habitats in Alaska and its near coastal waters. Funding will go to programs that conduct habitat and species studies identified as priority research needs that will inform management decisions (e.g. ESA listing or recovery decisions, the identification of important areas for protection or restoration, answering key questions that hamper resource management), benefit species of special concern (including polar bears, walrus, beluga whales, wild salmon, eulachon, sea ducks, oystercatchers, alcids, shorebirds, short-tailed albatross, and all other federal- or state-listed threatened or endangered species and other declining or at-risk species for which evidence of significant threat can be demonstrated), evaluate and/or mitigate the impacts of climate change through locally-based efforts, protect or restore coastal watersheds and nearshore estuarine and marine habitats, provide benefit for resident and migratory multiple species, and provide education or action programs intended to minimize harm for marine debris. Special funding is also available for projects that focus on the North Slope Arctic ecosystem, Prince William Sound, Cook Inlet, Bristol Bay/North Aleutian Basin, and the Northwest Arctic Borough. Highest priority will be given to projects that build new or enhance existing partnerships with local communities, non-profits, and local/state/federal government agencies and tribes; and that address conservation needs of species characteristic of Alaskan coastal watersheds, estuarine, and nearshore marine areas. A minimum 1:1 match of non-federal funds or in-kind/contributed goods and services is encouraged for all proposals.

America's Great Outdoors: Developing the Next Generation of Conservationists

This program, offered in cooperation with the Bureau of Land Management (BLM) and the Bureau of Reclamation (Reclamation), provides financial support for youth conservation job training programs. The initiative intends to expose young people, particularly urban and minority youth, to the natural world and career opportunities available in conservation. Eligible applicants are nonprofit organizations, government agencies, academic institutions, and tribal groups that have coordinated with, and received a letter of support from, a BLM field office, district office, or state office and/or a Reclamation field office, area office, or regional office. Approximately \$1.4 million will be available for matching grants nationwide. Award amounts are anticipated to be in the \$40,000 to \$100,000 range for project periods of six to eighteen months. The geographic focus is on the western United States. Up to \$250,000 in funding is specifically available for projects in the Walker River Basin of Nevada.

Bring Back the Natives

In conjunction with the U.S. Fish and Wildlife Service, Bureau of Land Management, USDA Forest Service, and Trout Unlimited, this program provides funding to restore native populations of sensitive or listed aquatic species. Grants will be awarded on a 2:1 matching basis.

Bronx River Watershed Initiative

MANY MORE

Delaware Estuary Grants Program

The program provides support to organizations working on a local level to protect and improve watersheds in the Delaware estuary, while building citizen-based resource stewardship. The mission of the grants program is to address the health and living resources of the three states that share the land and water of the estuary (Delaware, New Jersey, and Pennsylvania). Program priorities include: encouraging innovative community- or locally-based programs or projects that restore important habitats and living resources within the Delaware Estuary watersheds; developing the capacity of local governments, citizens' groups, and other organizations to promote community-based stewardship and enhance local watershed-based resource management; and promoting a greater understanding of the Delaware Estuary and the interrelationship between the health of the estuary and the condition of local watersheds. Grants of \$20,000 to \$50,000 each are awarded.

Dissolved Oxygen Environmental Benefit Fund for the Western Long Island Sound and Jamaica Bay

The mission of the fund is to restore habitat and improve water quality in the western Long Island Sound and Jamaica Bay.

Environmental Solutions for Communities

With support from Wells Fargo, the program seeks to support projects that link economic development and community well-being to the stewardship and health of the environment. Collectively, investments under this initiative will promote a sustainable future for communities by supporting sustainable agricultural practices and private lands stewardship; conserving critical land and water resources and improving local water quality; restoring and managing natural habitat, species, and ecosystems that are important to community livelihoods; facilitating investments in green infrastructure, renewable energy and energy efficiency; and encouraging broad-based citizen participation in project implementation. Grants range from \$25,000 to \$100,000 for projects of 18 months. Eligible applicants include nonprofit 501(c) organizations; state, tribal, provincial, and local governments; and educational institutions working in states and communities where Wells Fargo operates. Individuals, federal agencies, and private for-profit firms are not eligible. Projects that seek funding for political advocacy, lobbying, litigation, fundraising, or legally mandated mitigation projects are not eligible.

Fisheries Innovation Fund

Given in conjunction with the National Oceanic and Atmospheric Administration, the Walton Family Foundation, and the Gordon and Betty Moore Foundation, this fund seeks to fund innovative ideas about how to use catch shares to bolster fishing communities while maintaining sustainable fisheries. Grants ranging from \$50,000 to \$200,000 will be awarded.

Five-Star Restoration Challenge Grant Program

In conjunction with the U.S. Environmental Protection Agency, Southern Company, and Pacific Gas and Electric Company, this program provides modest financial assistance on a competitive basis to support community-based wetland, riparian, and coastal habitat restoration projects that build diverse partnerships and foster local natural resource stewardship through education, outreach, and training activities. Currently, funding is available from the program's two corporate sponsors in the southeast states and most of northern and central California. Requests must range between \$10,000 and \$40,000; the average grant award is \$13,000.

Friends Group Grant

This program is for projects that assist organizations to be effective co-stewards of our Nation's important natural resources within the National Wildlife Refuge System. This program provides competitive seed grants (\$1,500 - \$5,000) to creative and innovative proposals that seek to increase the number and effectiveness of organizations interested in assisting the Refuge System nationwide. See web site for more details.

Great Lakes Watershed Restoration Program

The program provides grants to community organizations, state and local governments, and others working on the local level to protect and improve watershed in the Great Lakes. The purpose of the program is to support habitat restoration, water quality improvement, watershed planning and management, and applied research. Projects that include education, training, or community outreach are encouraged. Eligible applicants are either nonprofit 501(c)(3) organizations, tribes, or state and local

governments from the Illinois, Indiana, Michigan, Minnesota, New York, Ohio, Pennsylvania, or Wisconsin portions of the Great Lakes watershed. Grants range between \$35,000 and \$100,000 each; a 1:1 match is required for this program.

Guy Bradley Award

The award seeks to recognize extraordinary individuals who have made an outstanding lifetime contribution to wildlife law enforcement, wildlife forensics, or investigative techniques that warrant national recognition. This \$1,000 will be presented to one state and one federal agency wildlife enforcement officer whose dedication and public service demonstrate outstanding leadership, excellence in implementation and knowledge, and a lifetime commitment to the field of wildlife law enforcement, and whose actions have advanced the cause of wildlife conservation.

Innovation Nutrient and Sediment Reduction Program

These grants award between \$200,000 and \$1 million each to support the demonstration of innovative approaches to expand the collective knowledge about the most cost-effective and sustainable approaches to dramatically reduce or eliminate nutrient and sediment pollution to the Chesapeake Bay and its tributaries.

International Sea Turtle Conservation Fund

The fund provides matching grant funding of up to \$25,000 for international sea turtle conservation projects in the Western hemisphere. Priority will be given to projects demonstrating clear and immediate benefit to the conservation of hawksbill and leatherback turtles in the wider Caribbean and East Pacific, and east Pacific green turtles. A limited amount of funds are available to support single- or multi-year projects focused on addressing the incidental capture of sea turtles in artisanal and commercial gillnet fisheries; projects falling under this category will still require at least a 1:1 match of funds but are not subject to the \$25,000 funding cap.

Keystone Initiative Grants

The foundation awards matching grants to achieve measurable outcomes in the conservation of fish, wildlife, plants, and the habitats on which they depend. Awards are made on a competitive basis to eligible grant recipients, including federal, tribal, state, and local governments; educational institutions; and non-profit conservation organizations. Grants generally range from \$50,000 to \$300,000 and typically require a minimum 2:1 non-federal match.

King County Community Salmon Fund

The fund was established to engage landowners, community groups, tribes, and businesses in salmon recovery within the Cedar River, Lake Washington, Sammamish Watershed (WRIA 8), the Green/Duwamish & Central Puget Sound Watershed (WRIA 9), and southern Snohomish County in Washington state. The program awards small-scale grants for salmon habitat protection and restoration projects that are marked by community involvement and watershed health benefits, and which are consistent with local salmon recovery plans.

Long Island Sound Futures Fund

The fund supports projects that restore and protect the health and living resources of Long Island Sound.

Marine Debris Research and Technology Grants Program

This program provides grants ranging from \$20,000 to \$200,000 to organizations working on projects to improve understanding of the impacts of marine debris on marine and coastal resources, and to reduce and prevent debris in the marine environment. Technology proposals related to new innovations in gear development designed to prevent loss or entanglement are a high priority and strongly encouraged; the program is additionally accepting research proposals that address the biological, social, or economic impact of marine debris on species, habitat, and coastal businesses. Priority areas include Alaska, the Hawaiian Islands, Puget Sound, the Gulf of Mexico, Chesapeake Bay, Southeast Florida, and the National Oceanic and Atmospheric Administration's National Marine Sanctuaries.

Mariner Environmental Compliance Education Program

These grants, awarded in conjunction with the United States Coast Guard, will provide funding for projects designed to educate mariners aboard vessels transiting the United States Economic Exclusive Zone about compliance with applicable laws and regulations, and how to report violations of U.S and

The William Penn Foundation

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At A Glance



The William Penn Foundation

2 Logan Sq., 11th Fl., 100 N. 18th St.
 Philadelphia, PA United States 19103-2757
 Telephone: (215) 988-1830
 Contact: Helen Davis Picher, Interim Pres.; Laura Sparks, V.P., Phil.
 Prog(s)
 Fax: (215) 988-1823
 E-mail: grants@williampennfoundation.org
 URL: www.williampennfoundation.org

Type of Grantmaker

Independent foundation

Additional Descriptor

Family foundation

Financial Data

(yr. ended 2013-12-31)
 Assets: \$2,283,164,256
 Total giving: \$80,099,460

EIN

231503488

990

2013 2012 2011 2010 2009 2008 2007 2006 2005 2004 2003 2002 2001

Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

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- Select an existing tag

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Incorporated in 1945 in DE.

In 1945 Otto and Phoebe Haas created the Phoebe Waterman Foundation to provide for their philanthropic concerns, specifically relief in post-War Europe, scholarships for fatherless children, and support for medical and educational institutions. The Foundation's development was made possible by the increasing success of the Rohm and Haas Company. Over the next decade, the foundation was supported by gifts from the family and continued to reflect the personal philanthropic interests of Otto and Phoebe Haas. In 1955, as annual grants exceeded \$100,000, the foundation hired its first director. Upon Mr. Haas' death in 1960, the foundation received the bulk of his estate. Mrs. Haas continued a program of regular gifts to the foundation until her death in 1967. During this period, Otto and Phoebe's sons, John

C. and F. Otto, headed the foundation's board When the foundation's name was changed to the Haas Community Fund in 1970, annual grants were \$3.5 million. Within another four years, grants had doubled to \$7 million per year and the Haas family renamed the Fund the William Penn Foundation, commemorating the 17-century Quaker whose pursuit of an exemplary society led to the founding of Philadelphia, the City of Brotherly Love Throughout its history, the foundation's grantmaking has focused on a range of topics, including arts and culture, human development, conservation and restoration, community fabric, education, and the environment. In 2011, the eldest living child of the founders, John C. Haas passed away at the age of 92. In 2009, prior to his death, Mr. Haas directed nearly \$750 million in new assets to the foundation. Over the years, presidents have included Richard Bennett, Harry Cerino, Kathy Engebretson, Janet Haas, Feather Houston, Jeremy Nowak, and Bernard Watson. Helen Davis Picher currently serves as the Foundation's Interim President. Thomas Haas serves as chair of the corporation and David Haas chairs the board of directors.

Limitations

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Giving limited to the greater Philadelphia region. (An expanded region for some environmental grants may be viewed on the foundation web site)

No support for sectarian religious activities, political lobbying or legislative activities, or for-profit organizations. No support for institutions that discriminate on the basis of race, ethnicity, creed, gender, or sexual orientation in policy or practice.

No grants to individuals, or for debt reduction, hospital capital projects, medical research, programs that replace lost government support, housing construction or rehabilitation, scholarships, or fellowships; no loans (except for program-related investments)

Purpose and Activities

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The foundation is dedicated to improving the quality of life in the Greater Philadelphia region through efforts that close the achievement gap for low-income children, ensure a sustainable environment, foster creativity that enhances civic life, and advance philanthropy in the Philadelphia region. In partnership with others, the foundation works to advance opportunity, ensure sustainability, and enable effective solutions.

Program Area(s)

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The grantmaker has identified the following area(s) of interest:

Closing the Achievement Gap

Increasing the Availability of Early Care and Education: the foundation seeks to increase the number of low-income children enrolled in high-quality early care and education programs. It seeks efforts that improve the quality of existing providers, expand the capacity of high-quality providers to serve more low-income children, promote the alignment of incentives and subsidies with quality, and improve and expand Philadelphia's pool of early childhood educators, focusing on the challenges posed by low industry wages. **Investing in K-12 Education:** The foundation seeks to increase the number of low-income students receiving a high-quality education by investing primarily in four areas: expansion and replication of successful school-based models; expansion and improvement of Philadelphia's pool of teachers, principals, and other school leaders; advocacy for more equitable school funding; and actionable data and research to inform practice and increase student success.

Creative Communities

Advancing Arts and Cultural Organizations: the foundation seeks to provide local audiences with new opportunities for cultural engagement and to ensure that funded projects give Philadelphians experiences of artistic excellence, new work, new interpretations, innovative approaches, and/or entry by artists new to Philadelphia. **Increasing Arts Education:** To increase students' exposure to art as part of their education, the foundation funds excellent arts organizations that demonstrate the capacity to work with low-income children. It aims to significantly increase the number of Philadelphia's students with access to

arts education experiences by funding the direct delivery of high-quality programs that employ teaching artists, as well as planning efforts to expand such programs. As part of this effort, the foundation focuses on identifying best practices and contributing to the learning around high-quality arts education and the scalability and sustainability of arts education programs. Investing in Great Public Spaces: the foundation makes strategic investments in parks, trails, and other public gathering places designed to serve the open space and recreation needs of local residents while enhancing the overall attractiveness of the region's urban core to residents, visitors, and investors. The foundation now extends its funding to advance the next generation of great public spaces in surrounding neighborhoods, where investments can create community portals, gateways, and linkages that strengthen transitioning neighborhoods and better connect communities to each other and to downtown. When possible, it prioritizes efforts to improve the performance and quality of existing public assets to better respond to local needs.

Matching Gifts

The foundation sponsors a matching gift program for current board members and current and retired employees of the foundation.

Watershed Protection

Supporting Watershed-Wide Research, Policy, and Practice: through targeted support for monitoring, research, planning, the development of analytical tools, and science-based campaigns, the foundation aims to promote an increased understanding of the ecological conditions of the Delaware watershed and, in limited cases, adjacent watersheds, as they relate to water quality and supply. It also supports and promotes the adoption and implementation of effective policies and practices to drive high-impact watershed preservation and restoration. Protecting and Restoring Ecologically Significant Sub-Watersheds: because the geography of the region's watersheds is expansive, and because the science underlying watershed health indicates that some places within a watershed are particularly critical to protect, the foundation has selected a targeted set of significant sub-watersheds for more localized, on-the-ground grantmaking. The foundation has prioritized the following sub-watershed clusters within the larger Delaware watershed: Brandywine/Christina, Philadelphia region watersheds, NJ Highlands, Pinelands Bayshore, Poconos Kittatinny, Schuylkill Highlands, and Upper Lehigh. Empowering Constituencies to Act: the foundation supports the development and use of strategically selected places that offer high-quality access to the outdoors for many people in the Delaware watershed - primarily multi-use trails, environmental education centers, and habitat observation and preservation sites with connections to the region's waterways.

Fields of Interest

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Subjects

Arts
Child development, education
Elementary school/education
Elementary/secondary school reform
Environment
Environment, beautification programs
Environment, natural resources
Performing arts
Secondary school/education

Population Groups

Economically disadvantaged

Geographic Focus

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New Jersey
Pennsylvania

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Building/renovation
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Consulting services
Employee matching gifts
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Program development
Program evaluation
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Research
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Technical assistance

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Letters of inquiry are not accepted by fax. If the LOI indicates a potential fit with the foundation's criteria, applicants will be invited to submit a formal and complete proposal. Those applicants encouraged to submit a full proposal will be directed to the appropriate site section for information on preparing a complete proposal. Unsolicited complete grant proposals are no longer accepted. Please see the foundation Web site for additional information.

Application form required.

Applicants should submit the following:

1. Timetable for implementation and evaluation of project
2. Statement of problem project will address
3. Results expected from proposed grant
4. Qualifications of key personnel
5. Population served
6. Name, address and phone number of organization
7. Listing of board of directors, trustees, officers and other key people and their affiliations
8. Listing of additional sources and amount of support
9. How project's results will be evaluated or measured
10. How project will be sustained once grantmaker support is completed
11. Detailed description of project and amount of funding requested
12. Copy of most recent annual report/audited financial statement/990
13. Copy of current year's organizational budget and/or project budget
14. Contact person
15. Brief history of organization and description of its mission

Initial approach: Complete a general inquiry form. Review program guidelines on the foundation's website and confirm that the proposed work aligns with the foundation's strategic priorities. Once an idea has been submitted, foundation staff will review the submission and notify the organization of next steps,

Surdna Foundation, Inc.

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Last Updated: 2014-07-23

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At A Glance



Surdna Foundation, Inc.
330 Madison Ave., 30th Fl.
New York, NY United States 10017-5001
Telephone: (212) 557-0010
Contact: Phillip Henderson, Pres.
Fax: (212) 557-0003
E-mail: grants@surdna.org
URL: www.surdna.org

Type of Grantmaker
Independent foundation

Additional Descriptor
Celebrity: Politics
Family foundation

Financial Data
(yr. ended 2013-06-30)
Assets: \$929,596,379
Total giving: \$34,643,450

EIN
136108163

990
2013 2012 2011 2010 2009 2008 2007 2005 2004 2003 2002 2001
Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

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Background

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Incorporated in 1917 in NY.

Founded by the late John Emory Andrus in 1917 to pursue a range of philanthropic purposes. John Andrus was born in 1841 in Pleasantville, New York, graduated from Wesleyan University and soon moved from teaching school to pursuing his talents as an investor and a businessman. His primary business, the Arlington Chemical Company, manufactured and distributed typical medicines of the day, and his business interests included large land holdings as far away as Alaska. The son of a Methodist minister, Andrus was a lay leader of the Methodist Church. In his 60s, he was elected mayor of Yonkers, New York, and then served four terms in the U.S. Congress. A devoted family man, he founded the Julia Dyckman Andrus Memorial in 1923, an orphanage that was a tribute to his beloved wife, an orphan herself, at the site of her adoptive family's farm in Westchester County New York. The orphanage was

later joined in 1953 by an adjacent retirement home, the John E. Andrus Memorial. This completed Andrus' expressed wish that his legacy provide communities with "opportunity for youth and rest for old age." Family stewardship of the Foundation has been informed by Andrus' values: thrift, practicality, modesty, loyalty, excellence, and an appreciation for direct service to those in need. In 1989, the third and fourth generations of the Andrus family established Surdna Foundation programs in environment and community revitalization and decided to enlarge the professional staff to broaden the Foundation's effectiveness, and in 1994, programs in effective citizenry and the arts were added. Today, the foundation seeks to foster sustainable communities in the United States' communities guided by principles of social justice and distinguished by healthy environments, strong local economies, and thriving cultures.

Limitations

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Giving on a national basis.

No support for international projects, or programs addressing direct job training, toxics, hazardous waste, environmental education, sustainable agriculture, or food production.

No grants for individuals, endowments/land acquisition, capital campaigns or construction.

Purpose and Activities

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The foundation seeks to foster just and sustainable communities in the United States guided by principles of social justice and distinguished by healthy environments, strong local economies, and thriving cultures. The foundation focuses on three core areas: 1) Sustainable Environments; 2) Strong Local Economies; and 3) Thriving Cultures.

Program Area(s)

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The grantmaker has identified the following area(s) of interest:

Program Related Investment Fund

The \$18 million Program Related Investment (PRI) revolving fund began investing in 2014. The fund supports the foundation's mission of fostering just and sustainable communities by providing investment capital to fund innovations that use market-based approaches to address economic, cultural, and environmental challenges. The program will focus on demonstrating the viability of new innovations, rather than expanding or replicating successful ones. The program will typically work with and through intermediaries, rather than investing in projects directly. The foundation makes PRIs by invitation only to for-profit businesses and non-profit organizations for select ventures that are designed to promote the work of one of the foundation's three main program strategies.

Strong Local Economies

The goal of the program is to support the development of robust and sustainable economies that include a wide range of businesses and access to quality jobs. The program aims to create opportunities for upward economic mobility among communities that have experienced historical economic barriers, including low-income people, communities of color, women, and immigrants. The program's work is guided by a strong commitment to social justice and equity. It seeks to create just and sustainable economies through three lines of work: Business Development and Acceleration; Equitable Economic Development; and Job Quality and Career Pathways.

Sustainable Environments

The program is working to overhaul our country's outdated and crumbling infrastructure with a new approach that will foster healthier, sustainable, and just communities. The foundation believes in the potential of what it calls "next generation infrastructure" to improve transit systems, make buildings more energy efficient, better manage our water systems and rebuild regional food systems. Focusing on urban areas and their surrounding suburbs, the foundation seeks solutions that connect and improve these infrastructure systems in ways that maximize positive impacts and minimize negative environmental,

Sempra Energy Foundation

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At A Glance

Sempra Energy Foundation

101 Ash St., HQ-07
San Diego, CA United States 92101-3017
Telephone: (619) 696-2012
E-mail: SempraEnergyFoundation@sempra.com
URL: www.sempraenergyfoundation.org

Type of Grantmaker

Company-sponsored foundation

Financial Data

(yr. ended 2013-12-31)
Assets: \$5,684,340
Total giving: \$1,583,606

EIN

261325469

990

2013 2012 2011 2010 2009 2008 2007
Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

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add a tag

Additional Contact Information

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Additional tel.: (866) 262-4842

Background

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Established in 2007 in CA.

Limitations

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Giving primarily in CA; giving also to national organizations.

No support for religious organizations not of direct benefit to the entire community, political or discriminatory organizations, or other private non-operating foundations.

No grants to individuals (except for disaster response/relief or company-sponsored scholarship programs), or for capital campaigns, travel expenses, loans or loan guarantees, debt reduction or past operating deficits, or liquidation of an organization.

Purpose and Activities

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The foundation supports programs designed to promote environmental stewardship; advance education; and support communities in need.

Program Area(s)

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The grantmaker has identified the following area(s) of interest:

Challenge Grant Program

The foundation provides matching contributions to community events with which employees participate that raises funds for health and human service organizations.

Disaster Response & Safety

The foundation supports programs designed to promote wellness and safety; and provides assistance to communities in times of disaster.

Education

The foundation supports educational programs designed to promote math, science, and technology; and programs designed to advance environmental stewardship in the areas of conservation, habitat restoration, and energy efficiency.

Environment

The foundation supports programs designed to promote sustainability and the advancement of new environmental and energy technologies; infrastructure development in the area of sustainable green technologies; and programs designed to promote conservation, habitat restoration, and energy efficiency.

Environmental Champion Awards

The foundation awards grants to nonprofit organizations making a difference in the environmental arena in the Southwest and Gulf Regions, with a focus on environmental education programs for grades K-12.

Helping People in Need Initiative

The foundation awards grants to programs designed to meet the basic needs of families that continue to struggle with the economic crisis. The program is limited to California and the Gulf Region.

Matching Gift Program

The foundation matches contributions made by employees of Sempra Energy to nonprofit organizations involved with arts and culture and education on a one-for-one basis.

Volunteer Incentive Program (VIP)

The foundation awards grants to nonprofit organizations with which employees of Sempra Energy volunteers.

Fields of Interest

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Subjects

American Red Cross
Arts
Children/youth, services
Community/economic development

Resources Legacy Fund

Profile Publications

Profile

Last Updated: 2012-10-22

Compact View

Jump To

- Additional Contact Information
- Limitations
- Purpose and Activities
- Program Area(s)
- Fields of Interest
- Geographic Focus
- Types of Support
- Application Information
- Officers and Board Members
- Staff
- Financial Data
- Additional Location Information

At A Glance

Resources Legacy Fund

555 Capitol Mall, Ste. 675
 Sacramento, CA United States 95814-4505
 Telephone: (916) 442-5051
 Contact: Ed Lewis
 Fax: (916) 325-7541
 E-mail: info@resourcelegacyfund.org
 URL: www.resourcelegacyfund.org

Type of Grantmaker

Public charity

Additional Descriptor

Organization that normally receives a substantial part of its support from a governmental unit or from the general public

Financial Data

(yr. ended 2012-12-31)
 Assets: \$54,205,287
 Total giving: \$25,705,111

EIN

954703838

990

2013 2012 2011 2010 2009 2008 2007 2006 2005 2004 2003 2002 2002

Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

- E-mail Record
- Print/Save Record
- Add to Workspace (What is this?)
- Add to My Alerts

Record Tags

add a tag

- Select an existing tag

Additional Contact Information

Top of Page

Tel. for Ed Lewis: (406) 585-5987; email for Ed Lewis: edlewisc@aol.com

Limitations

Top of Page

Giving limited to CA.

Purpose and Activities

Top of Page

The organization helps philanthropic institutions and individuals to achieve significant conservation goals by designing and administering strategic land and marine conservation programs.

Program Area(s)

Top of Page

The grantmaker has identified the following area(s) of interest:

Greater Yellowstone Ecosystem and Crown of the Continent Loan Fund

The fund provides short-term bridge loans to nonprofit conservation organizations to finance priority acquisitions in the Greater Yellowstone Ecosystem (GYE) and the Crown of the Continent (COC)

Fields of Interest

Top of Page

Subjects

Environment

Geographic Focus

Top of Page

California

Types of Support

Top of Page

Program-related investments/loans

Application Information

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Potential applicants should contact Ed Lewis for initial screening. If a project satisfies the fund's preliminary programmatic review, the applicant will be invited to submit a complete loan application for review and consideration. Board approval is required before a program loan will be made under the Loan Fund.

Application form not required.

Initial approach: Telephone or e-mail

Officers and Board Members

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Note: An asterisk () following an individual's name indicates an officer who is also a trustee or director.*

Barton H. Thompson, Jr. *, Chair.

Affiliation(s):

Stanford University, Robert E. Paradise Professor of Natural Resources Law

Woods Institute for the Environment at Stanford University, Perry L. McCarty Director

Water Strategist, Contributing Editor

Natural Heritage Institute, Chair., Board of Directors

American Bar Association, Member

California Bar Association, Member

Rocky Mountain Mineral Law Institute, Trustee

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2012

Water Environment Research Foundation

Profile

Profile

Last Updated: 2013-01-18

Compact View

Jump To

- Background
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- Program Area(s)
- Fields of Interest
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- Types of Support
- Publications
- Application Information
- Officers and Directors
- Financial Data
- Additional Location Information

At A Glance

Water Environment Research Foundation

635 Slaters Ln., Ste. 300
 Alexandria, VA United States 22314-1177
 Telephone: (703) 684-2470
 Contact: Glenn Reinhardt, Exec. Dir.
 Fax: (703) 299-0742
 E-mail: werf@werf.org
 URL: www.werf.org

Type of Grantmaker

Public charity

Additional Descriptor

Organization that normally receives a substantial part of its support from a governmental unit or from the general public

Financial Data

(yr. ended 2012-12-31)
 Assets: \$10,483,117
 Total giving: \$4,526,561

EIN

541511635

990

2013 2012 2011 2010 2009 2008 2007 2006 2005 2004 2003 2002
 Learn more about the information displayed in Forms 990 and 990-PF, as well as processing errors announced by the IRS.

Tools

- E-mail Record
- Print/Save Record
- Add to Workspace (What is this?)
- Add to My Alerts

Record Tags

add a tag

Select an existing tag

Background

Top of Page

Established in 1989 in VA.

Limitations

Top of Page

Giving on a national and international basis.

Purpose and Activities

Top of Page

The foundation provides a balanced water quality research program addressing current wastewater research needs and covering a broad range of water quality issues, including collection and treatment systems, watershed management, human health and environmental effects, and residual management.

Program Area(s)

[Top of Page](#)

The grantmaker has identified the following area(s) of interest:

Paul L. Busch Award

Awards \$100,000 to outstanding and innovative individuals or teams who contribute significantly to research in water quality and the practical application of research in the water environment. Applicants may self-nominate or be nominated by a third party.

Research Program

Research topics are prioritized each year through a subscriber survey and proposals are invited for high-priority research. Estimated budgets are projected by the foundation while applicants are asked to outline their own budgetary conditions.

Unsolicited Research Program

Up to \$500,000 is available for proposals that work to advance science and technology addressing water quality issues as they impact water resources, the atmosphere, the lands, and quality of life. The foundation will fund pioneering research that aims to significantly advance knowledge and understanding in protecting and enhancing water quality or the water environment, and that could fundamentally transform water quality business practices.

Fields of Interest

[Top of Page](#)

Subjects

Environment, pollution control
Environment, water pollution
Environment, water resources

Geographic Focus

[Top of Page](#)

National, International

Types of Support

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Research

Publications

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Application guidelines
Annual report
Informational brochure (including application guidelines)
Multi-year report (including application guidelines)
Newsletter
Occasional report

ITEM 7

**UTILITIES DEPARTMENT UPDATE
CAPITAL IMPROVEMENT PROJECTS**

**ITEM 7 - CIP PRIORITY LIST
(Approved by the LOCSD Board of Directors 9/4/15)**

Funding Source	Summary of Potential Projects	Approximate Project Cost (2014 dollars)
Existing Reserves	BP-1: Program A, Intertie	\$50,000
	BP-2: Program A, Upper aquifer well - design and permitting (including final engineering)	\$60,000
	BP-3: Program C, East side lower aquifer well-design and permitting (including final engineering)	\$160,000
	Subtotal - Existing Reserves	\$270,000
Debt Financing (IBANK or equal) - Immediate	BP-2: Program A, Upper aquifer well (not including design/permitting)	\$540,000
	BP-3: Program C, East side lower aquifer well (not including design/permitting)	\$1,440,000
	IA-1: AC main replacement, 11th Street (Los Olivos to Ramona)	\$731,000
	IA-2: AC main replacement, 7th Street (Romona to Santa Ysabel)	\$874,000
	IA-3: Valve upgrades	\$313,000
	DSP-1: 18th/Paso Robles loop upgrade	\$50,000
	DSP-2: 10th Street/Santa Maria valve upgrade	\$50,000
	DSP-3: Ferrel loop upgrade	\$20,000
	DSP-4: 12th/S. Paula upgrade	\$178,000
	DSP-5: 2nd/Santa Ysabel upgrade	\$198,000
	DSP-6: 15th Street dead-end upgrade	\$98,000
	OE-1: Water operations building improvements (Phase 1 - Shop/storage improvement)	\$70,000
	OE-2: Supervisory Control and Data Acquisition (SCADA) - Phase 1	\$75,000
	Subtotal - Debt financing - immediate	\$4,637,000
Pay as you go - 5 to 10 years	OE-5: Water operations building improvements (Phase 2 - Ops Building)	\$70,000
	OE-4: Automated meter reading (AMR) meters - 5% complete	\$800,000
	OE-3: SCADA - Phase 2	\$200,000
	Subtotal - Pay as you go - 5 to 10 years	\$1,070,000
Debt or Bond Financing - Long Term	Other IA main replacement projects - remaining AC waterlines (not including DSP projects)	\$13,000,000
	Other second priority pipeline projects from 2010 CIP update	\$2,610,000
	Other third priority pipeline projects from 2010 CIP update	\$2,800,000
	Subtotal - debt or bond financing - long term	\$18,410,000
	Check Total:	\$24,387,000